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ETH, November 2015

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### Supersymmetry is well known to have deep consequences.

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Do these symmetries also have interesting implications?

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Based on joint work with A. Pérez, D. Tempo, R. Troncoso (2015)

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of isomorphisms  $so(2, 1) \simeq sl(2, \mathbb{R}) \simeq sp(2, \mathbb{R}) \simeq su(1, 1)$ 

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- AdS gravity can be reformulated as an *sl*(2, ℝ) ⊕ *sl*(2, ℝ)
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- The action reads

 $I[A^+, A^-] = I_{CS}[A^+] - I_{CS}[A^-]$ 

where  $A^+$ ,  $A^-$  are connections taking values in the algebra  $sl(2,\mathbb{R})$ ,

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and where *I*<sub>CS</sub>[A] is the Chern-Simons action

$$I_{CS}[A] = \frac{k}{4\pi} \int_M Tr\left(A \wedge dA + \frac{2}{3}A \wedge A \wedge A\right) \,.$$

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$$I_{CS}[A] = \frac{k}{4\pi} \int_M Tr\left(A \wedge dA + \frac{2}{3}A \wedge A \wedge A\right) \,.$$

• The parameter *k* is related to the (2+1)-dimensional Newton constant *G* as  $k = \ell/4G$ , where  $\ell$  is the AdS radius of curvature.

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The relationship between the  $sl(2,\mathbb{R})$  connections  $A^+$ ,  $A^-$  and the gravitational variables (dreibein and spin connection) is

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$$A^{+a}_{\mu} = \omega^{a}_{\mu} + \frac{1}{\ell} e^{a}_{\mu}$$
 and  $A^{-a}_{\mu} = \omega^{a}_{\mu} - \frac{1}{\ell} e^{a}_{\mu}$ 

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in terms of which one finds indeed

$$I[e,\omega] = \frac{1}{8\pi G} \int_M d^3 x \left(\frac{1}{2}eR + \frac{e}{\ell^2}\right)$$

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The absence of local degrees of freedom manifests itself in the Chern-Simons formulation through the fact that the connection is flat,

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Note that the Chern-Simons gauge transformations enable one to go to gauges where the triad is degenerate.

### D = 3 Pure *N*-extended Supergravities as Chern-Simons theories

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The Chern-Simons formulation is very convenient because it allows for generalizations.

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For instance, supergravity is obtained by simply replacing  $sl(2,\mathbb{R})$  by a superalgebra that contains it.

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In supergravity, the bosonic subalgebra is the direct sum  $sl(2,\mathbb{R}) \oplus \mathcal{G}$ , where  $\mathcal{G}$  is the "R-symmetry algebra".

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The fermionic generators transform in the **2** of  $sl(2, \mathbb{R})$ , which might come with a non-trivial multiplicity (extended supergravities).

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The fermionic generators transform in the **2** of  $sl(2, \mathbb{R})$ , which might come with a non-trivial multiplicity (extended supergravities).

The first condition ensures that the theory contains gravity and only bosonic fields of "spins" 2 and 1 (and a single "graviton"). The second condition ensures that spinors are spin- $\frac{3}{2}$  fields.

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This leads to higher spin gauge theories in 3D.

In 3D, the higher spin gauge theories are simply given by a Chern-Simons theory with appropriate "higher spin" (super)algebra.

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In 3D, the higher spin gauge theories are simply given by a Chern-Simons theory with appropriate "higher spin" (super)algebra.

These higher spin (super)algebras are obtained by lifting the above restrictions that limited the spin content to  $\leq 2$ .

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In 3D, the higher spin gauge theories are simply given by a Chern-Simons theory with appropriate "higher spin" (super)algebra.

These higher spin (super)algebras are obtained by lifting the above restrictions that limited the spin content to  $\leq 2$ .

One then considers general (super)algebras containing the gravitational subalgebra  $sl(2,\mathbb{R})$ , but with their bosonic subalgebra not necessarily of the form  $sl(2,\mathbb{R}) \oplus \mathcal{G}$ .

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# The case of interest to us is obtained by replacing $sl(2,\mathbb{R})$ by osp(1,4).

More precisely, one replaces the gauge algebra  $sl(2, \mathbb{R}) \oplus sl(2, \mathbb{R})$  by  $osp(1|4) \oplus osp(1|4)$ , the bosonic subalgebra of which is  $sp(4) \oplus sp(4)$ . The resulting theory contains automatically gravity since  $sl(2, \mathbb{R}) \subset sp(4)$ .

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The possibility to have a finite number of higher spin gauge fields is in contrast with D > 3 where one needs an infinite number of higher spin gauge fields to get a consistent theory. But what is the spin content?

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Assuming principal embedding of  $sl(2,\mathbb{R})$  in sp(4), one gets one spin-2 field, one spin-4 field and one spin- $\frac{5}{2}$  field.

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Assuming principal embedding of  $sl(2,\mathbb{R})$  in sp(4), one gets one spin-2 field, one spin-4 field and one spin- $\frac{5}{2}$  field.

The spin-4 field decouples in the limit of zero cosmological constant, where one gets the theory of Aragone and Deser (1984).

### Some conventions

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### Basis of osp(1|4):

$$\begin{split} \left[ L_{i}, L_{j} \right] &= (i-j) L_{i+j}, \\ \left[ L_{i}, U_{m} \right] &= (3i-m) U_{i+m}, \\ \left[ L_{i}, \mathscr{S}_{p} \right] &= \left( \frac{3}{2}i-p \right) \mathscr{S}_{i+p}, \\ \left[ U_{m}, U_{n} \right] &= \frac{1}{2^{2}3} \left( m-n \right) \left( \left( m^{2}+n^{2}-4 \right) \left( m^{2}+n^{2}-\frac{2}{3}mn-9 \right) - \frac{2}{3} \left( mn-6 \right) mn \right) L_{m+n} \\ &+ \frac{1}{6} \left( m-n \right) \left( m^{2}-mn+n^{2}-7 \right) U_{m+n}, \\ \left[ U_{m}, \mathscr{S}_{p} \right] &= \frac{1}{2^{3}3} \left( 2m^{3}-8m^{2}p+20mp^{2}+82p-23m-40p^{3} \right) \mathscr{S}_{i+p}, \\ \left\{ \mathscr{S}_{p}, \mathscr{S}_{q} \right\} &= U_{p+q} + \frac{1}{2^{2}3} \left( 6p^{2}-8pq+6q^{2}-9 \right) L_{p+q}. \end{split}$$

Here  $L_i$ , with  $i = 0, \pm 1$ , stand for the spin-2 generators that span the gravitational  $sl(2,\mathbb{R})$  subalgebra, while  $U_m$  and  $\mathscr{S}_p$ , with  $m = 0, \pm 1, \pm 2, \pm 3$  and  $p = \pm \frac{1}{2}, \pm \frac{3}{2}$ , correspond to the spin-4 and fermionic spin- $\frac{5}{2}$  generators, respectively.

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### The action is

 $I = I_{CS} \left[ A^+ \right] - I_{CS} \left[ A^- \right]$ 

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$$I = I_{CS} \left[ A^+ \right] - I_{CS} \left[ A^- \right]$$

with

$$I_{CS}[A] = \frac{k_4}{4\pi} \int str\left[AdA + \frac{2}{3}A^3\right].$$

Here, the level,  $k_4 = k/10$ , is expressed in terms of the Newton constant and the AdS radius according to  $k = \ell/4G$ .

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*str*[···] stands for the supertrace of the fundamental (5  $\times$  5) matrix representation.

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The connection reads

$$A^+ = A^i_\mu L_i + B^m_\mu U_m + \psi^p_\mu \mathscr{S}_p$$

and a similar expression holds for  $A^-$ .

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# In terms of the two osp(1|4) connections $A^+$ and $A^-$ , the metric and spin-4 field are defined by

 $g_{\mu\nu} \sim str(e_{\mu}e_{\nu})$ ,  $h_{\mu\nu\rho\sigma} \sim str(e_{\mu}e_{\nu}e_{\rho}e_{\sigma}) + astr(e_{(\mu}e_{\nu})str(e_{\rho}e_{\sigma}))$ ,



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These interaction terms are not known in closed form. They can be constructed perturbatively.

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An important (and puzzling!) feature of higher spin gauge theories is that the metric  $g_{\mu\nu}$  transforms under the gauge transformations of the spin-4 gauge field  $h_{\lambda\mu\nu\rho}$ .

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In particular, given a solution to the field equation, there is no known way to ascribe to it a well-defined causal structure.

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In particular, given a solution to the field equation, there is no known way to ascribe to it a well-defined causal structure.

We shall come back to that question later.

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The boundary conditions were first investigated in the metric formulation and a precise definition of what is meant by "asymptotically anti-de Sitter metric" was given.

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It turns out that (in a suitable gauge) they take exactly the same form as the so-called Drinfeld-Sokolov Hamiltonian reduction conditions, namely

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$$A_{\varphi}^{\pm}(r,\varphi) \underset{r \to \infty}{\longrightarrow} L_{\pm 1} - \frac{2\pi}{k} \mathscr{L}^{\pm}(\varphi) L_{\mp 1} + O\left(\frac{1}{r}\right),$$

$$A_r^{\pm} \xrightarrow[r \to \infty]{} O\left(\frac{1}{r}\right).$$

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Coussaert, Henneaux, van Driel 1995

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The "asymptotic symmetries" are those gauge transformations  $\delta A_i^{\pm} = \partial_i \Lambda^{\pm} + [A_i^{\pm}, \Lambda^{\pm}]$  that preserve the boundary conditions, i.e., such that  $A_i^{\pm} + \delta A_i^{\pm}$  fulfills also the boundary conditions.

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$$\begin{array}{rl} \Lambda^{\pm} & \underset{r \to \infty}{\longrightarrow} & \pm \epsilon_{\pm} \left( \varphi \right) \left( L_{\pm 1} - \frac{2\pi}{k} \mathscr{L}^{\pm} \left( \varphi \right) L_{\mp 1} \right) \\ & \mp \epsilon_{\pm}' \left( \varphi \right) L_{0} \pm \frac{1}{2} \epsilon_{\pm}'' \left( \varphi \right) L_{\mp 1} \end{array}$$

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The functions  $\epsilon_{\pm}(\varphi)$  are arbitrary functions of  $\varphi$  and parametrize the asymptotic symmetries.

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Furthermore, one easily finds that the generators of the asymptotic symmetry algebra are given explicitly by the  $\mathcal{L}^{\pm}$ 's themselves (when the constraints hold) and read explicitly

$$Q_{\pm}[\epsilon_{\pm}] = \pm \int_{r \to \infty} \epsilon_{\pm}(\varphi) \mathcal{L}^{\pm}(\varphi) \, d\varphi$$

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These generators obey the Virasoro algebra.

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$$i\left[\mathscr{L}_{m}^{\pm},\mathscr{L}_{n}^{\pm}\right]_{PB} = (m-n)\,\mathscr{L}_{m+n}^{\pm} + \frac{k}{2}m^{3}\delta_{m+n,0}.$$

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$$i \left[ \mathscr{L}_m^{\pm}, \mathscr{L}_n^{\pm} \right]_{PB} = (m-n) \, \mathscr{L}_{m+n}^{\pm} + \frac{k}{2} m^3 \delta_{m+n,0}.$$

and commute between themselves  $[\mathscr{L}_m^+, \mathscr{L}_n^-]_{PB} = 0$  (2*D* conformal algebra).

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Furthermore, one easily finds that the generators of the asymptotic symmetry algebra are given explicitly by the  $\mathscr{L}^{\pm}$ 's themselves (when the constraints hold) and read explicitly

$$Q_{\pm}[\epsilon_{\pm}] = \pm \int_{r \to \infty} \epsilon_{\pm}(\varphi) \, \mathscr{L}^{\pm}(\varphi) \, d\varphi$$

These generators obey the Virasoro algebra. More precisely, the Fourier components  $\mathscr{L}_n^{\pm}$  obey, in terms of the Poisson bracket, the Virasoro algebra with the classical central charge  $c = 6k = 3\ell/2G$ ,

$$i \left[ \mathscr{L}_m^{\pm}, \mathscr{L}_n^{\pm} \right]_{PB} = (m-n) \, \mathscr{L}_{m+n}^{\pm} + \frac{k}{2} m^3 \delta_{m+n,0}.$$

and commute between themselves  $[\mathscr{L}_m^+, \mathscr{L}_n^-]_{PB} = 0$  (2*D* conformal algebra).

Thus, the Virasoro algebra emerges in the reduction procedure enforced by the AdS boundary conditions.

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How does this proceed?

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How does this proceed?

Sugra : Henneaux, Maoz, Schwimmer (2000) ; Higher spins : S.-J. Rey + MH (2010) ; A. Campoleoni, S. Fredenhagen, S. Pfenninger, S. Theisen (2010)

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$$A_{\varphi}^{\pm}(r,\varphi) \underset{r \to \infty}{\longrightarrow} L_{\pm 1} - \frac{2\pi}{k} \mathscr{L}^{\pm}(\varphi) L_{\mp 1} + \frac{\pi}{5k} \mathscr{U}^{\pm}(\varphi) U_{\mp 3} - \frac{2\pi}{k} \psi^{\pm}(\varphi) \mathscr{S}_{\mp \frac{3}{2}},$$

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$$\overset{\pm}{arphi}(r,arphi) \mathop{\longrightarrow}\limits_{r o \infty} L_{\pm 1} - rac{2\pi}{k} \mathscr{L}^{\pm}(arphi) L_{\mp 1} + rac{\pi}{5k} \mathscr{U}^{\pm}\left(arphi
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Again, the non trivial fields  $\mathscr{L}^{\pm}(\varphi)$ ,  $\mathscr{U}^{\pm}(\varphi)$  and  $\psi^{\pm}(\varphi)$  appear along the lowest (highest)-weight generators.

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$$Q^{\pm}\left[\epsilon_{\pm},\chi_{\pm},\vartheta_{\pm}\right] = \pm \int d\varphi \left(\epsilon_{\pm}\mathscr{L}^{\pm} + \chi_{\pm}\mathscr{U}^{\pm} - i\vartheta_{\pm}\psi^{\pm}\right),$$

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with  $\epsilon_{\pm}$ ,  $\chi_{\pm}$  and  $\vartheta_{\pm}$  arbitrary functions of  $\varphi$ . The "charges"  $\mathscr{L}^{\pm}(\varphi)$ ,  $\mathscr{U}^{\pm}(\varphi)$  and  $\psi^{\pm}(\varphi)$  form the  $W_{(2,\frac{5}{2},4)}$ -superalgebra.

$$W_{(2,\frac{5}{2},4)}$$
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$$\begin{split} i[L_m, L_n]_{PB} &= (m-n) L_{m+n} + \frac{k}{2} m^3 \delta_{m+n}^0, \\ i[L_m, U_n]_{PB} &= (3m-n) U_{m+n}, \\ i[L_m, \psi_n]_{PB} &= \left(\frac{3}{2}m-n\right) \psi_{m+n}, \\ i[U_m, U_n]_{PB} &= \frac{1}{2^2 3^2} (m-n) \left(3m^4 - 2m^3 n + 4m^2 n^2 - 2mn^3 + 3n^4\right) L_{m+n} \\ &+ \frac{1}{6} (m-n) \left(m^2 - mn + n^2\right) \mathcal{U}_{m+n} - \frac{2^3 3\pi}{k} (m-n) \Lambda_{m+n}^{(6)} \\ &- \frac{7^2 \pi}{3^2 k} (m-n) \left(m^2 + 4mn + n^2\right) \Lambda_{m+n}^{(4)} + \frac{k}{2^3 3^2} m^7 \delta_{m+n}^0, \\ i[U_m, \psi_n]_{PB} &= \frac{1}{2^2 3} \left(m^3 - 4m^2 n + 10mn^2 - 20n^3\right) \psi_{m+n} - \frac{23\pi}{3k} i \Lambda_{m+n}^{(11/2)} \\ &+ \frac{\pi}{3k} (23m - 82n) \Lambda_{m+n}^{(9/2)}, \\ i[\psi_m, \psi_n]_{PB} &= U_{m+n} + \frac{1}{2} \left(m^2 - \frac{4}{3} mn + n^2\right) L_{m+n} + \frac{3\pi}{k} \Lambda_{m+n}^{(4)} + \frac{k}{6} m^4 \delta_{m+n}^0, \\ \end{split}$$
The generators  $\mathcal{U}_n, \psi_n$  have respective conformal weights 4 and  $\frac{5}{2}$ ; unchanged central charge  $c = 6k = \frac{3\ell}{2G}$  (two copies),  $s \in s \in s \in s \in s \in s$ 

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$$(2\pi)^{-1} \left( \hat{\psi}_0 \hat{\psi}_0 + \hat{\psi}_0 \hat{\psi}_0 \right) = \frac{2}{2\pi} \hat{\psi}_0^2 = \mathscr{U} + \frac{3\pi}{k} \mathscr{L}^2 \ge 0.$$
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(The quantum W(2,5/2,4)-superalgebra, with the unitarity conditions  $L_m^{\dagger} = L_{-m}$ ,  $U_m^{\dagger} = U_{-m}$ ,  $\psi_m^{\dagger} = \psi_{-m}$  implied by the classical reality conditions, admits arbitrarily large values of the central charge.)

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This is a nonlinear bound.

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The Euclidean BTZ black hole has solid torus topology.

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## Black hole topology - Euclidean formulation



## Black hole topology - Euclidean formulation



The topology of the Euclidean black hole is a solid torus,  $\mathbb{R}^2 \times S^1$ . The "Euclidean horizon"  $r_+$ is the origin of a system of polar coordinates  $r, \tau$  in  $\mathbb{R}^2$ . The Euclidean time  $\tau$  is the polar angle. On the other hand, the  $S^1$  is parametrized by the angle  $\varphi$ .

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## More precisely:

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More precisely:

The (Euclidean) black hole is the most general flat connection (with Euclidean version of the algebra) on a solid torus with no singularity, obeying the appropriate boundary conditions at infinity,

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More precisely:

The (Euclidean) black hole is the most general flat connection (with Euclidean version of the algebra) on a solid torus with no singularity, obeying the appropriate boundary conditions at infinity,

and allowing for a consistent thermodynamics (real entropy).

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One can derive the whole thermodynamics and in particular the below extremality condition (existence of a horizon) within the Chern-Simons formulation,

without invoking the explicit form of the metric or even metric concepts (causal structure etc).

This approach is crucial when higher spins are included, where there is no well-defined geometry.

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The hypergravity Euclidean black hole is then a flat  $osp(1|4;\mathbb{C})$ -connection defined on the solid torus, obeying the above boundary conditions

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The hypergravity Euclidean black hole is then a flat  $osp(1|4;\mathbb{C})$ -connection defined on the solid torus, obeying the above boundary conditions and regular everywhere, including at the origin  $r_+$ .

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Such solutions exist.

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where  $\mathscr{L}$  and  $\mathscr{U}$  are now complex constants (related to the Lorentzian  $\mathscr{L}^{\pm}$  and  $\mathscr{U}^{\pm}$ ) (mass, angular momentum and spin-4 charges).

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$$A_{\varphi} = L_1 - \frac{2\pi}{k} \mathscr{L}_{-1} + \frac{\pi}{5k} \mathscr{U}_{-3}$$

where  $\mathcal{L}$  and  $\mathcal{U}$  are now complex constants (related to the Lorentzian  $\mathcal{L}^{\pm}$  and  $\mathcal{U}^{\pm}$ ) (mass, angular momentum and spin-4 charges).

The component  $A_r$  along Euclidean time can be determined from the equations of motion and the boundary conditions, and involve two complex functions,  $\xi$  and  $\mu$  ("chemical potentials"). The regularity condition (absence of conical singularity at the origin) determines  $\xi$  and  $\mu$  in terms of the charges  $\mathcal{L}$ ,  $\mathcal{U}$ .

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The Euclidean action gives the entropy and the thermodynamics can be consistently defined provided the charges are within the "extremal limit" corresponding to a real entropy.

The expressions are rather cumbersome but the derivation is direct.

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This last bound is just the hypersymmetric bound found above from the algebra.
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This last bound is just the hypersymmetric bound found above from the algebra.

The black holes that saturate this bound are extremal and hypersymmetric (possess Killing vector-spinors).

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Hypersymmetry bounds exist, are non trivial and are interesting. They provide nonlinear constraints on the bosonic charges.

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Hypersymmetry bounds exist, are non trivial and are interesting. They provide nonlinear constraints on the bosonic charges. In the case of 2+1 hypergravity, the black holes that saturate the bounds are extremal.

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The question remains, however : can one define an invariant geometry in the presence of higher spin gauge fields ?

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The whole discussion can be pursued purely algebraically, without invoking geometrical concepts.

The question remains, however : can one define an invariant geometry in the presence of higher spin gauge fields ? Another question is : can we account for all the bounds ? One should consider more complete models that include supersymmetry, higher spin hypersymmetry. Perhaps one must go all the way to an infinite number of spins...