

# Scale-invariant alternatives to general relativity

Mikhail Shaposhnikov

Zurich, 21 June 2011

# Based on:

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- M.S., Daniel Zenhäusern, Phys. Lett. B **671** (2009) 162
- M.S., Daniel Zenhäusern, Phys. Lett. B **671** (2009) 187
- Diego Blas, M.S., Daniel Zenhäusern, arXiv:1104.1392 [hep-th] (2011)

Einstein gravity is a theory which is invariant under all diffeomorphisms, Diff:  $x^\mu \rightarrow f^\mu(x^\nu)$ .

**Pros** - consistence with all tests of GR. One of the main predictions - existence of massless graviton.

### Problems of GR

- Large dimensionfull coupling constant  $G_N^{-1} = M_P^2$ , leading to hierarchy problem  $m_H \ll M_P$ .
- Extra arbitrary fundamental parameter - cosmological constant - which is known to be very small.
- Quantum gravity?

# Our proposal

$$Diff \rightarrow TDiff \times Dilatations$$

**TDiff** - volume conserving coordinate transformations,

$$\det \left[ \frac{\partial f^\mu}{\partial x^\nu} \right] = 1 .$$

**Dilatations** - global scale transformations ( $\sigma = const$ )

$$\Psi(x) \rightarrow \sigma^n \Psi(\sigma x) ,$$

$n = 0$  for the metric,  $n = 1$  for scalars and vectors and  $n = 3/2$  for fermions.

Similarity with GR: consistency with all tests

Differences with GR:

- Dynamical origin of all mass scales
- Hierarchy problem gets a different meaning - an alternative (to SUSY, technicolor, little Higgs or large extra dimensions) solution of it may be possible.
- Cosmological constant problem acquires another formulation.
- Natural chaotic cosmological inflation
- Low energy sector contains a **massless** dilaton
- There is Dark Energy even without cosmological constant
- Quantum gravity?

- Field theory: **classical** scale invariance and its spontaneous breakdown
- Unimodular gravity
- Scale invariance, unimodular gravity, cosmological constant, inflation and dark energy
- **Quantum** scale invariance
- Dilaton as a part of the metric in TDiff gravity
- Conclusions

Trivial statement: multiply **all** mass parameters in the theory

$$M_W, \Lambda_{QCD}, M_H, M_{Pl}, \dots$$

by one and the same number :  $M \rightarrow \sigma M$ . **Physics is not changed!**

Indeed, this change, supplemented by a dilatation of space-time coordinates  $x^\mu \rightarrow \sigma x^\mu$  and an appropriate redefinition of the fields does not change the complete quantum effective action of the theory.

This transformation, however, is not a symmetry of the theory (symmetry = transformation of dynamical variables which does not change the action)

Dilatations:

$$\phi(x) \rightarrow \sigma^n \phi(\sigma x)$$

$n$ -canonical dimension of the field:  $n = 1$  for scalars and vectors,  $n = 3/2$  for fermions, while the metric transforms as

$$g_{\mu\nu}(x) \rightarrow g_{\mu\nu}(\sigma x).$$

Dilatation symmetry forbids all dimensionfull couplings: Higgs mass, Newton gravity constant, cosmological constant, etc



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Ruled out by observations?

No, if it is spontaneously broken!

**First step:** consider classical physics only (no parameters like  $\Lambda_{QCD}$ ), just **tree** explicit mass parameters such as  $M_H, M_W, M_{Pl}$ .

# Classical scale invariant theory

Unique regular scale-invariant Lagrangian

$$\mathcal{L}_{\text{SM}} = \mathcal{L}_{\text{SM}[M \rightarrow 0]} + \mathcal{L}_G + \frac{1}{2}(\partial_\mu \chi)^2 - V(\varphi, \chi)$$

Potential ( $\chi$  - dilaton,  $\varphi$  - Higgs,  $\varphi^\dagger \varphi = 2h^2$ ):

$$V(\varphi, \chi) = \lambda \left( \varphi^\dagger \varphi - \frac{\alpha}{2\lambda} \chi^2 \right)^2 + \beta \chi^4,$$

Gravity part

$$\mathcal{L}_G = - \left( \xi_x \chi^2 + 2\xi_h \varphi^\dagger \varphi \right) \frac{R}{2},$$

# Spontaneous breaking of scale invariance

Forget first about gravity. Consider scalar potential

$$V(\varphi, \chi) = \lambda \left( \varphi^\dagger \varphi - \frac{\alpha}{2\lambda} \chi^2 \right)^2 + \beta \chi^4,$$

Requirements: vacuum state exists if  $\lambda \geq 0$ ,  $\beta \geq 0$

For  $\lambda > 0$ ,  $\beta > 0$  the vacuum state is unique:  $\chi = 0$ ,  $\varphi = 0$  and scale invariance is exact.

Field propagators: scalar  $1/p^2$ , fermion  $\not{p}/p^2$ . Greenberg, 1961:

## free quantum field theory!!

If not - theory does not describe particles !!

Gravity included - argument for  $\beta = 0$  gets weaker:

- for  $\beta > 0$  there is a de Sitter solution
- for  $\beta < 0$  there is an AdS solution

However,

- dS solution is not stable in the presence of massless scalar - no dS invariant ground state exists
- AdS solution has different pathologies

For  $\lambda > 0$ ,  $\beta = 0$  the scale invariance can be spontaneously broken.  
The vacuum manifold:

$$h_0^2 = \frac{\alpha}{\lambda} \chi_0^2$$

Particles are massive, Planck constant is non-zero:

$$M_H^2 \sim M_W \sim M_t \sim M_N \propto \chi_0, \quad M_{Pl} \sim \chi_0$$

Phenomenological requirement:

$$\alpha \sim \frac{v^2}{M_{Pl}^2} \sim 10^{-38} \lll 1$$

**Good news:** cosmological constant may be zero due to scale invariance and requirement of presence of particles



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Universe is in the state of accelerated expansion,  $\Omega_{DE} \simeq 0.7!$

# Unimodular gravity

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## Ordinary gravity:

the metric  $g_{\mu\nu}$  is an arbitrary function of space-time coordinates.

Invariant under general coordinate transformations

## Unimodular gravity:

the metric  $g_{\mu\nu}$  is an arbitrary function of space-time coordinates with  $\text{set}[g] = -1$ . Invariant under general coordinate transformations which conserve the 4-volume.

van red Bi, van Dam, N

Origin of UG: Field theory describing spin 2 massless particles is either GR or UG

Number of physical degrees of freedom is the same.

# Unimodular gravity and cosmological constant

Theories are equivalent everywhere except the way the **cosmological constant appears**

**GR.**  $\Lambda$  is the fundamental constant:

$$S = -\frac{1}{M_P^2} \int d^4x \sqrt{-g} [R + \Lambda]$$

**UG.**  $\Lambda$  does not appear in the action:

$$S = -\frac{1}{M_P^2} \int d^4x R$$

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Cosmological constant problem is solved in UG??!!

**Wilczek, Zee: NO!**

UG is equivalent to

$$S = -\frac{1}{M_P^2} \int d^4x \sqrt{-g} \left[ R + \Lambda(x) \left( 1 - \frac{1}{\sqrt{-g}} \right) \right]$$

Equations of motion ( $G_{\mu\nu}$  - Einstein tensor):

$$G_{\mu\nu} = -\Lambda(x) g_{\mu\nu}, \quad \sqrt{-g} = 1$$

Bianchi identity:  $\Lambda(x);_{\alpha} = 0 \rightarrow \Lambda(x) = \text{const.}$

Solutions of UG are the same as solutions of GR with an arbitrary cosmological constant.

Conclusion: in UG cosmological constant reappears, but as an integral of motion, related to initial conditions

# Scale invariance + unimodular gravity

Solutions of scale-invariant UG are the same as the solutions of scale-invariant GR with the action

$$S = - \int d^4x \sqrt{-g} \left[ (\xi_\chi \chi^2 + 2\xi_h \varphi^\dagger \varphi) \frac{R}{2} + \Lambda + \dots \right] ,$$

Physical interpretation: Einstein frame

$$g_{\mu\nu} = \Omega(x)^2 \tilde{g}_{\mu\nu} , \quad (\xi_\chi \chi^2 + \xi_h h^2) \Omega^2 = M_P^2$$

**$\Lambda$  is not a cosmological constant, it is the strength of a peculiar potential!**



Relevant part of the Lagrangian (scalars + gravity) in Einstein frame:

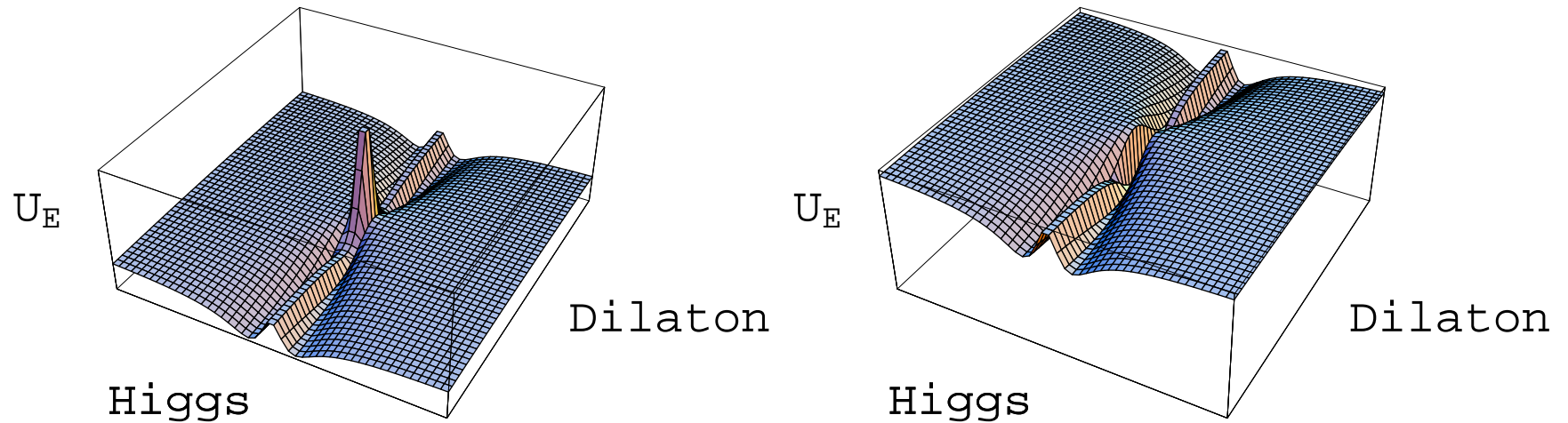
$$\mathcal{L}_E = \sqrt{-\tilde{g}} \left( -M_P^2 \frac{\tilde{R}}{2} + K - U_E(h, \chi) \right) ,$$

$K$  - complicated non-linear kinetic term for the scalar fields,

$$K = \Omega^2 \left( \frac{1}{2} (\partial_\mu \chi)^2 + \frac{1}{2} (\partial_\mu h)^2 \right) - 3M_P^2 (\partial_\mu \Omega)^2 .$$

The Einstein-frame potential  $U_E(h, \chi)$ :

$$U_E(h, \chi) = M_P^4 \left[ \frac{\lambda (h^2 - \frac{\alpha}{\lambda} \chi^2)^2}{4(\xi_\chi \chi^2 + \xi_h h^2)^2} + \frac{\Lambda}{(\xi_\chi \chi^2 + \xi_h h^2)^2} \right] ,$$



Potential for the Higgs field and dilaton in the Einstein frame.

Left:  $\Lambda > 0$ , right  $\Lambda < 0$ .

50% chance ( $\Lambda < 0$ ): inflation + late collapse

50% chance ( $\Lambda > 0$ ): inflation + late acceleration

Chaotic initial condition: fields  $\chi$  and  $h$  are away from their equilibrium values.

Choice of parameters:  $\xi_h \gg 1$ ,  $\xi_\chi \ll 1$  (will be justified later)

Then - dynamics of the Higgs field is more essential,  $\chi \simeq \text{const}$  and is frozen. Denote  $\xi_\chi \chi^2 = M_P^2$ .

Redefinition of the Higgs field to make canonical kinetic term

$$\frac{d\tilde{h}}{dh} = \sqrt{\frac{\Omega^2 + 6\xi_h^2 h^2 / M_P^2}{\Omega^4}} \implies \begin{cases} h \simeq \tilde{h} & \text{for } h < M_P / \xi \\ h \simeq \frac{M_P}{\sqrt{\xi}} \exp\left(\frac{\tilde{h}}{\sqrt{6}M_P}\right) & \text{for } h > M_P / \sqrt{\xi} \end{cases}$$

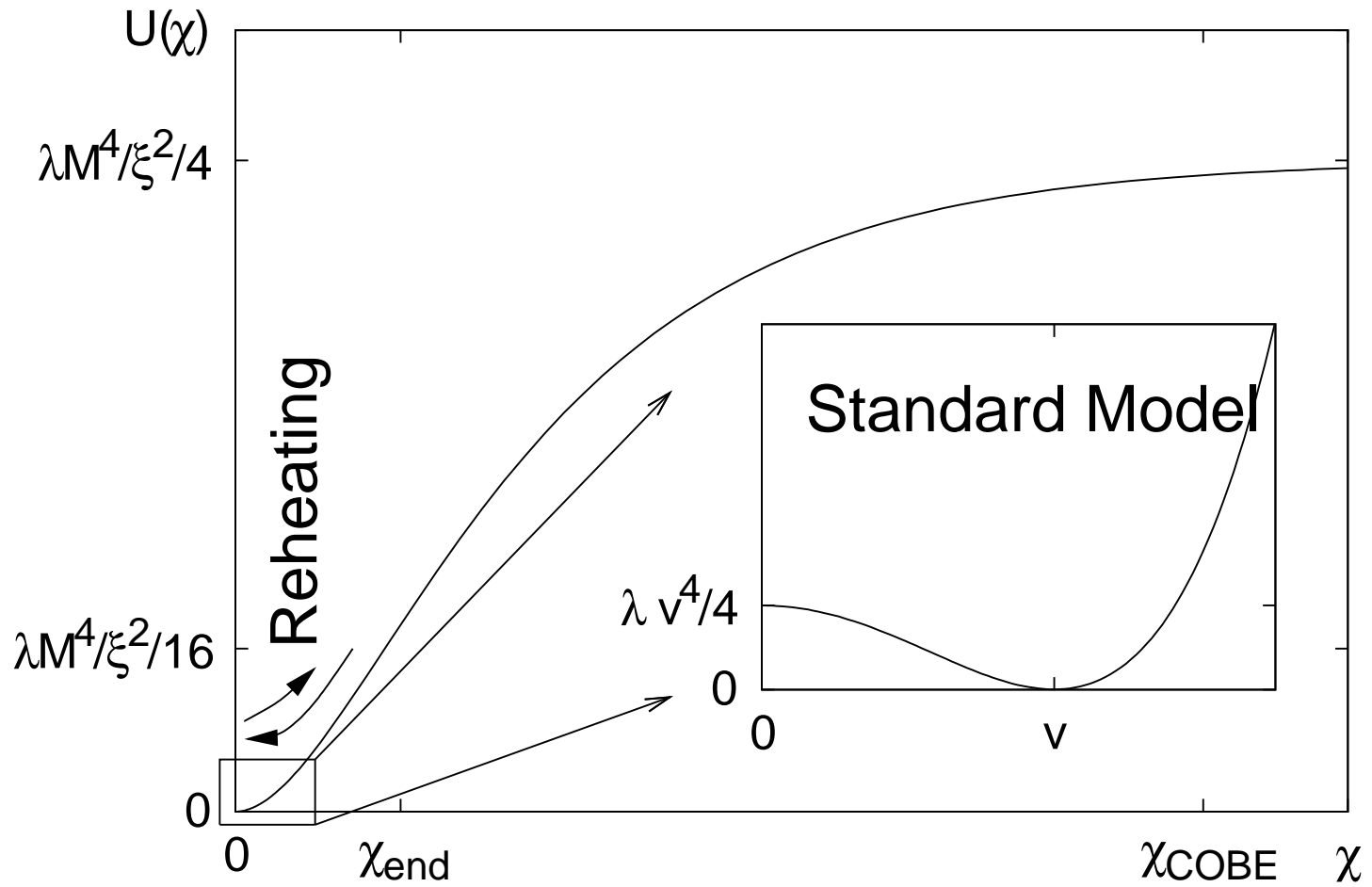
Resulting action (Einstein frame action)

$$S_E = \int d^4x \sqrt{-\hat{g}} \left\{ -\frac{M_P^2}{2} \hat{R} + \frac{\partial_\mu \tilde{h} \partial^\mu \tilde{h}}{2} - \frac{1}{\Omega(\tilde{h})^4} \frac{\lambda}{4} h(\tilde{h})^4 \right\}$$

Potential:

$$U(\tilde{h}) = \begin{cases} \frac{\lambda}{4} \tilde{h}^4 & \text{for } h < M_P / \xi \\ \frac{\lambda M_P^4}{4\xi^2} \left(1 - e^{-\frac{2\tilde{h}}{\sqrt{6}M_P}}\right)^2 & \text{for } h > M_P / \xi \end{cases} .$$

# Potential in Einstein frame



# Slow roll stage

$$\epsilon = \frac{M_P^2}{2} \left( \frac{dU/d\chi}{U} \right)^2 \simeq \frac{4}{3} \exp \left( -\frac{4\chi}{\sqrt{6}M_P} \right)$$
$$\eta = M_P^2 \frac{d^2U/d\chi^2}{U} \simeq -\frac{4}{3} \exp \left( -\frac{2\chi}{\sqrt{6}M_P} \right)$$

Slow roll ends at  $\chi_{\text{end}} \simeq M_P$

Number of e-folds of inflation at the moment  $h_N$  is  $N \simeq \frac{6}{8} \frac{h_N^2 - h_{\text{end}}^2}{M_P^2/\xi}$

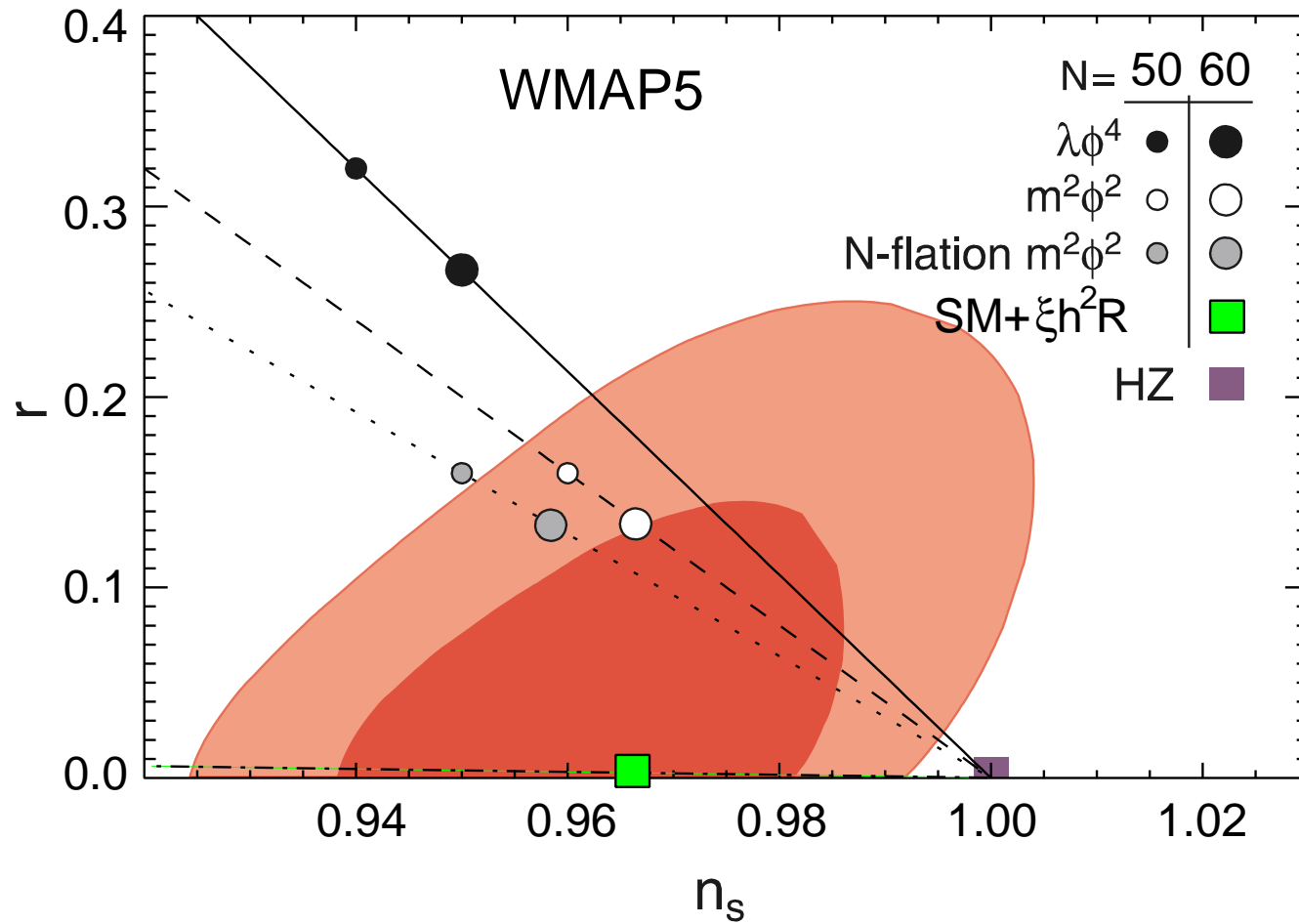
$$\chi_{60} \simeq 5M_P$$

COBE normalization  $U/\epsilon = (0.027M_P)^4$  gives

$$\xi \simeq \sqrt{\frac{\lambda}{3}} \frac{N_{\text{COBE}}}{0.027^2} \simeq 49000 \sqrt{\lambda} = 49000 \frac{m_H}{\sqrt{2}v}$$

Connection of  $\xi$  and the Higgs mass!

# CMB parameters—spectrum and tensor modes



# Dark energy

Late time evolution of dilaton  $\rho$  along the valley, related to  $\chi$  as

$$\chi = M_P \exp\left(\frac{\gamma\rho}{4M_P}\right), \quad \gamma = \frac{4}{\sqrt{6 + \frac{1}{\xi_x}}}.$$

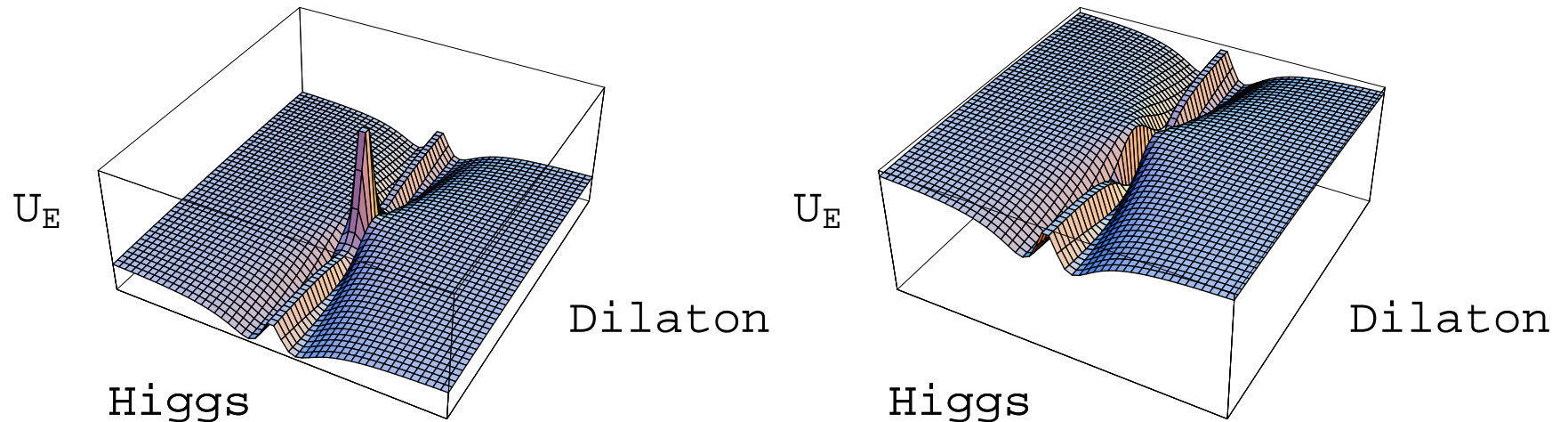
Potential: Wetterich; Ratra, Peebles

$$U_\rho = \frac{\Lambda}{\xi_x^2} \exp\left(-\frac{\gamma\rho}{M_P}\right).$$

From observed equation of state:  $0 < \xi_x < 0.09$

**Result:** equation of state parameter  $\omega = P/E$  for dark energy must be different from that of the cosmological constant, but  $\omega < -1$  is not allowed.





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# Intermediate summary

- Spontaneously broken scale invariance :
  - All mass scales originate from one and the same source - vev of the massless dilaton
  - Zero cosmological constant –  $\beta = 0$  – existence of particles
  - Scale invariance naturally leads to flat potentials and thus to cosmological inflation
- TDiff or Unimodular gravity:
  - New parameter – strength of a particular potential for the dilaton
  - Dynamical Dark Energy

# Quantum scale invariance

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Common lore: quantum scale invariance does not exist, divergence of dilatation current is not-zero due to quantum corrections:

$$\partial_\mu J^\mu \propto \beta(g) G_{\alpha\beta}^a G^{\alpha\beta a} ,$$

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Everything above does not make any sense???

Dimensional regularisation  $d = 4 - 2\epsilon$ ,  $\overline{MS}$  subtraction scheme:

mass dimension of the scalar fields:  $1 - \epsilon$ ,

mass dimension of the coupling constant:  $2\epsilon$

Counter-terms:

$$\lambda = \mu^{2\epsilon} \left[ \lambda_R + \sum_{k=1}^{\infty} \frac{a_k}{\epsilon^k} \right],$$

$\mu$  is a dimensionfull parameter!!

One-loop effective potential along the flat direction:

$$V_1(\chi) = \frac{m_H^4(\chi)}{64\pi^2} \left[ \log \frac{m_H^2(\chi)}{\mu^2} - \frac{3}{2} \right],$$



**Result:** explicit breaking of the dilatation symmetry. Dilaton acquires a nonzero mass due to radiative corrections.

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**Idea:** Replace  $\mu^{2\epsilon}$  by combinations of fields  $\chi$  and  $h$ , which have the correct mass dimension:

$$\mu^{2\epsilon} \rightarrow \chi^{\frac{2\epsilon}{1-\epsilon}} F_\epsilon(x) ,$$

where  $x = h/\chi$ .  $F_\epsilon(x)$  is a function depending on the parameter  $\epsilon$  with the property  $F_0(x) = 1$ .

Zenhäusern, M.S

Englert, Truffin, Gastmans, 1976

## Example of computation

Natural choice:

$$\mu^{2\epsilon} \rightarrow [\omega^2]^{\frac{\epsilon}{1-\epsilon}}, \quad (\xi_\chi \chi^2 + \xi_h h^2) \equiv \omega^2$$

Potential:

$$U = \frac{\lambda_R}{4} [\omega^2]^{\frac{\epsilon}{1-\epsilon}} [h^2 - \zeta_R^2 \chi^2]^2,$$

Counter-terms

$$U_{cc} = [\omega^2]^{\frac{\epsilon}{1-\epsilon}} \left[ Ah^2 \chi^2 \left( \frac{1}{\bar{\epsilon}} + a \right) + B \chi^4 \left( \frac{1}{\bar{\epsilon}} + b \right) + Ch^4 \left( \frac{1}{\bar{\epsilon}} + c \right) \right],$$

To be fixed from conditions of absence of divergences and presence of spontaneous breaking of scale-invariance

$$U_1 = \frac{m^4(h)}{64\pi^2} \left[ \log \frac{m^2(h)}{v^2} + \mathcal{O}(\zeta_R^2) \right] + \frac{\lambda_R^2}{64\pi^2} [C_0 v^4 + C_2 v^2 h^2 + C_4 h^4] + \mathcal{O}\left(\frac{h^6}{\chi^2}\right),$$

where  $m^2(h) = \lambda_R(3h^2 - v^2)$  and

$$C_0 = \frac{3}{2} \left[ 2a - 1 + 2 \log \left( \frac{\zeta_R^2}{\xi_\chi} \right) + \frac{4}{3} \log 2\lambda_R + \mathcal{O}(\zeta_R^2) \right],$$

$$C_2 = -3 \left[ 2a - 3 + 2 \log \left( \frac{\zeta_R^2}{\xi_\chi} \right) + \mathcal{O}(\zeta_R^2) \right],$$

$$C_4 = \frac{3}{2} \left[ 2a - 5 + 2 \log \left( \frac{\zeta_R^2}{\xi_\chi} \right) - 4 \log 2\lambda_R + \mathcal{O}(\zeta_R^2) \right].$$

Consider the high energy ( $\sqrt{s} \gg v$  but  $\sqrt{s} \ll \chi_0$ ) behaviour of scattering amplitudes on the example of Higgs-Higgs scattering (assuming, that  $\zeta_R \ll 1$ ). In one-loop approximation

$$\Gamma_4 = \lambda_R + \frac{9\lambda_R^2}{64\pi^2} \left[ \log \left( \frac{s}{\xi_\chi \chi_0^2} \right) + \text{const} \right] + \mathcal{O}(\zeta_R^2) .$$

This implies that at  $v \ll \sqrt{s} \ll \chi_0$  the effective Higgs self-coupling runs in a way prescribed by the ordinary renormalization group!

For QCD:

$$\Lambda_{QCD} = \chi_0 e^{-\frac{1}{2b_0\alpha_s}}, \quad \beta(\alpha_s) = b_0\alpha_s^2$$

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## Problems

- Renormalizability: Can we remove all divergences with the similar structure counter-terms? The answer is “no” (Tkachov, MS). However, this is not essential for the issue of scale invariance. We get scale-invariant **effective theory**

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## Problems

- Renormalizability: Can we remove all divergences with the similar structure counter-terms? The answer is “no” (Tkachov, MS). However, this is not essential for the issue of scale invariance. We get scale-invariant **effective theory**
- Unitarity and high-energy behaviour: What is the high-energy behaviour ( $E > M_{Pl}$ ) of the scattering amplitudes? Is the theory Unitary? Can it have a scale-invariant UV completion?

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- Higgs mass is stable against radiative corrections (in dimensional regularisation)
- Requirement of spontaneous breakdown of scale invariance - cosmological constant is tuned to zero in all orders of perturbation theory

# Dilaton as a part of the metric

Previous discussion - ad hoc introduction of scalar field  $\chi$ . It is massless, as is the graviton. Can it come from gravity?

Yes - it automatically appears in scale-invariant TDiff gravity as a part of the metric!

Consider arbitrary metric  $g_{\mu\nu}$  (no constraints). Determinant  $g$  of  $g_{\mu\nu}$  is TDiff invariant. Generic scale-invariant action for scalar field and gravity:

$$\mathcal{S} = \int d^4x \sqrt{-g} \left[ -\frac{1}{2} \phi^2 f(-g) R - \frac{1}{2} \phi^2 \mathcal{G}_{gg}(-g) (\partial g)^2 - \frac{1}{2} \mathcal{G}_{\phi\phi}(-g) (\partial\phi)^2 + \mathcal{G}_{g\phi}(-g) \phi \partial g \cdot \partial\phi - \phi^4 v(-g) \right].$$



# Equivalence theorem

This TDiff theory is equivalent (at the classical level) to the following Diff scalar tensor theory:

$$\frac{\mathcal{L}_e}{\sqrt{-g}} = -\frac{1}{2}\phi^2 f(\sigma)R - \frac{1}{2}\phi^2 \mathcal{G}_{gg}(\sigma)(\partial\sigma)^2 - \frac{1}{2}\mathcal{G}_{\phi\phi}(\sigma)(\partial\phi)^2 \\ - \mathcal{G}_{g\phi}(\sigma)\phi \partial\sigma \cdot \partial\phi - \phi^4 v(\sigma) - \frac{\Lambda_0}{\sqrt{\sigma}} .$$

Transformation to Einstein frame:

$$\frac{\mathcal{L}_e}{\sqrt{-\tilde{g}}} = -\frac{1}{2}M^2 \tilde{R} - \frac{1}{2}M^2 \mathcal{K}_{\sigma\sigma}(\sigma)(\partial\sigma)^2 - \frac{1}{2}M^2 \mathcal{K}_{\phi\phi}(\sigma)(\partial \ln(\phi/M))^2 \\ - M^2 \mathcal{K}_{\sigma\phi}(\sigma) \partial\sigma \cdot \partial \ln(\phi/M) - M^4 V(\sigma) - \frac{M^4 \Lambda_0}{\phi^4 f(\sigma)^2 \sqrt{\sigma}} ,$$

As expected,  $\phi$  is a Goldstone boson with derivative couplings only (except the term containing  $\Lambda_0$ ).

So, TDiff scale invariant theory automatically contains a massless dilaton. All previous results can be reproduced in it.

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  - Cosmological constant may be zero due to quantum scale-invariance and the requirement of existence of particles
  - Even if  $\Lambda = 0$ , Dark Energy is present
  - The massless sector of the theory contains dilaton, which has only derivative couplings to matter and can be a part of the metric.

# Problems to solve

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