

# Entropy of asymptotically flat black holes in gauged supergravity

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# BPS Black Holes

BPS Black holes in flat space (ala extremal Reissner-Nordström (RN)) satisfy a bound,

$$M = |Q|$$

- They preserve  $\frac{1}{2}$ -susy in e.g.  $N = 2, D = 4$  sugra and have zero temperature.
- They have finite entropy which (for large charges) can be computed using the  $(0, 4)$  CFT of Maldacena, Strominger and Witten (1997). [Or, in  $D = 5$ , Strominger-Vafa (1996)]

## Near-extremal black holes

Near-extremal RN black holes have also been embedded in string theory [Callan-Maldacena, Horowitz-Strominger, Klebanov-Tseytlin '96,..., Balasubramanian and Larsen '98,...]

- They have finite but small temperature due to Hawking radiation.
- The entropy can be computed by mapping to BTZ and using the Cardy formula [Strominger '97,...].

This is ok for large black holes in sugra and in the absence of light charged matter: ungauged supergravity. What changes in gauged sugra ?

# Gauged supergravity

## Questions:

- How to construct asymptotically flat black hole solutions in gauged sugra ?
- What is the influence of light charged particles on the black hole ?
- Microstate counting ?

## Note:

- Gauged sugra can have  $AdS_4$  vacua. But black holes in  $AdS_4$  is a different story.
- Gauged sugra can have Minkowski vacua, but typically with spontaneously broken supersymmetry. This will be our story.

# $\mathbb{R}^{1,3} \times S^1 \times CY_3$ supergravity

Compactification of D=11 sugra on  $CY_3$  yields  $N = 2$   $D = 5$  sugra with [Cadavid, Ceresole, D'Auria, Ferrara '95]

- Poincare multiplet
- $h^{1,1} - 1$  vector multiplets
- $h^{2,1} + 1$  hypermultiplets

Further compactification on  $S^1_R$  gives at low energies  $N = 2$   $D = 4$  sugra with

- Poincare multiplet
- $h^{1,1}$  vector multiplets
- $h^{2,1} + 1$  hypermultiplets (massless and neutral)

Consistency requires  $R^6 \gg Vol(CY_3)$ .

# BPS Black Holes and MSW

The M5 brane can be wrapped over a four-cycle to give a BPS string in  $D = 5$ , with near horizon geometry

$$AdS_3 \times S^2 \times CY_3$$

Upon reducing over  $S^1$  to  $D = 4$  it gives a BPS black hole with near horizon geometry

$$AdS_2 \times_f S^1 \times S^2 \times CY_3$$

The entropy of this black hole was computed by Maldacena, Strominger Witten ('97) using  $AdS_3/CFT_2$  and the Cardy formula for the  $(0, 4)$  dual CFT.

## Non-extremal black holes and BTZ - I

Consider the  $STU = T^3$  model ( $F = \frac{X_1^3}{X_0}$ ;  $h_{1,1} = 1$ ). Non-extremal black hole solutions [..., Galli, Ortin, Perz and Shahbazi (2011)]:

$$ds^2 = -e^{2U(r)} dt^2 + e^{-2U(r)} dr^2 + e^{-2U(r)} f(r) d\Omega_{(2)}^2,$$

with  $f(r) = (r - r_+)(r - r_-)$ . Warp factor

$$e^{-2U(r)} f(r) = \left[ r - r_* + \sqrt{r_0^2 + \frac{2q_0^2}{R_\infty^3}} \right]^{\frac{1}{2}} \left[ r - r_* + \sqrt{r_0^2 + 2p_1^2 R_\infty} \right]^{\frac{3}{2}}.$$

Inner and outer horizon are  $r_\pm = r_* \pm r_0$  with  $r_0$  the nonextremality parameter and  $r_*^2 = 2\sqrt{q_0 p_1^3}$  is the horizon radius of the extremal solution. For  $R_\infty = \sqrt{\frac{q_0}{p_1}}$  the dilaton is constant and the solution becomes Reissner-Nordström.

## Non-extremal black holes and BTZ - II

In fact, in the near-horizon limit of all near-extremal black holes, they all become of the RN type,

$$ds_{(4)}^2 = - \left( 1 - \frac{2M}{\tilde{r}} + \frac{r_*^2}{\tilde{r}^2} \right) dt^2 + \frac{d\tilde{r}^2}{\left( 1 - \frac{2M}{\tilde{r}} + \frac{r_*^2}{\tilde{r}^2} \right)} + \tilde{r}^2 d\Omega_{(2)}^2 ,$$

with  $\tilde{r} = r - r_* + M$  and the mass given by

$$M = \sqrt{r_0^2 + r_*^2} = \sqrt{\frac{1}{2}(r_+^2 + r_-^2)} .$$

Near-horizon, near-extremal limit  $\epsilon \ll 1$

$$\tilde{r} = r_* + \epsilon\rho , \quad r_0 = \epsilon\rho_0 , \quad M = r_* + \epsilon^2 \frac{\rho_0^2}{2r_*} , \quad t = \frac{1}{\epsilon} r_*^2 \tau ,$$



## Non-extremal black holes and BTZ - III

We can uplift to  $D = 5$  and take the near-horizon, near-extremal limit [..., Balasubramanian and Larsen '98,...]. Then we get a geometry  $(BTZ \times S^2)_\ell$  with  $\ell = 2p$  and

$$M^2 = \frac{\ell^2}{2} M_{BTZ}^2, \quad r_*^2 = \frac{\ell}{4} (J_{BTZ} + \ell M_{BTZ}),$$

2D CFT identification,  $\ell M_{BTZ} = L_0 + \bar{L}_0$ ;  $J_{BTZ} = L_0 - \bar{L}_0$ , so

$$n_L = \frac{1}{2} (\ell M_{BTZ} + J_{BTZ}),$$

$$n_R = \frac{1}{2} (\ell M_{BTZ} - J_{BTZ}).$$

BTZ entropy can now be rewritten as Cardy formula [Strominger '97; Brown-Henneaux:  $c = \frac{3\ell}{2G_3}$ ]

$$S = 2\pi \left( \sqrt{\frac{c_L}{6} n_L} + \sqrt{\frac{c_R}{6} n_R} \right).$$

## A new twist: Scherk-Schwarz and gauged supergravity

This is all fine and well understood for over 15 years. So let us add an new interesting twist...

- Scherk-Schwarz twist along the  $S^1$  when going from  $D = 5$  to  $D = 4$ .
- It yields gauged  $N = 2$  supergravity with  $V \geq 0$  (tree level) and a Minkowski vacuum with broken supersymmetry (super-Higgs).
- some of the particles become massive and charged. (At tree level,  $m = q$ .)
- The susy-breaking scale can be taken very small, so  $m$  is small as well.

## Scherk-Schwarz Baby version

Consider a massless complex scalar field with  $U(1)$  symmetry on  $\mathbb{R}^{1,3} \times S^1$  coupled to gravity

$$\begin{aligned} L &= -\partial_{\hat{\mu}}\phi\partial^{\hat{\mu}}\bar{\phi} \\ &= -\left(\partial_{\mu}\phi\partial^{\mu}\bar{\phi} + g^{\mu z}\partial_{\mu}\phi\partial_z\bar{\phi} + g^{z\mu}\partial_z\phi\partial_{\mu}\bar{\phi} + g^{zz}\partial_z\phi\partial_z\bar{\phi}\right) \end{aligned}$$

Give twisted boundary condition (Scherk-Schwarz)

$$\phi(x, z + 2\pi R) = e^{2\pi i\alpha}\phi(x, z) \Leftrightarrow \partial_z\phi = i\frac{\alpha}{R}\phi .$$

Resulting Lagrangian has KK-charged field and positive definite potential

$$L = -|D_{\mu}\phi|^2 - V(\phi, \bar{\phi}) , \quad V = \frac{\alpha^2}{R^2}|\phi|^2 .$$

Mass and charge

$$m = e = \frac{\alpha}{R} .$$

# Scherk-Schwarz I

The Scherk-Schwarz twist yields no-scale supergravity in  $D = 4$  with  $V \geq 0$  [..., Hull, ..., Ferrara et al.; Looyestijn, Plauschinn, SV]. We twist the  $U(1) \subset SU(2)_R$  symmetry.

Gravitini and hypers become massive. Vector multiplet scalars and vectors remain massless. We take  $h_{1,1} = 1$  and the  $t^3$  model (e.g. quintic  $CY_3$ )

$$F(X) = \frac{(X^1)^3}{X^0}$$

The gaugino gets eaten by the gravitino. Freezing the hypers to their VEVs, the resulting bosonic Lagrangian looks like ungauged supergravity for which there are non-extremal black holes known. They are the RN black holes discussed before !!

## Scherk-Schwarz potential

The potential is given by a sum of positive terms,

$$\begin{aligned} \frac{V}{\alpha^2} = & \frac{1}{R^3} \mathcal{N}^r \bar{\mathcal{N}}^s G_{r\bar{s}} + \frac{1}{4R^3 \mathcal{V}^2} \left[ \xi^T N^T \xi \right]^2 \\ & \frac{1}{2R^3 \mathcal{V}} \xi^T N^T \mathcal{M} (\text{Im} \mathcal{M})^{-1} \mathcal{M}^T N \xi \\ & \frac{1}{4R^6} \left( M^A{}_C \phi^C \right) \left( M^B{}_D \phi^D \right) \mathcal{K}_{AB} . \end{aligned}$$

Finding Minkowski vacua is solving a geometric problem: Finding fixed points of isometries on quaternionic manifolds. Moment map at the fixed point must be non-zero to get non-zero masses. Radius  $R$  and Calabi-Yau volume  $\mathcal{V}$  are flat directions.

## Scherk-Schwarz II

Expanding around the vacuum, we get massive and charged hypermultiplets and gravitini, with  $m = q$  and

$$q = n q_{1/2}, \quad n \in \mathbb{Z}, \quad q_{1/2} = \frac{\alpha}{R}, \quad \alpha \ll 1.$$

Actually,  $n \in \{1, 3, 4, 8, 12\}$  for the model at hand with  $h_{1,2} = 1$  and hypers span  $G_2/SO(4)$ .

The set-up we have now is a RN black hole with the same entropy (classically), but with a different quantization condition on the BH charge (due to absorption or emission of light charged particles),

$$q_{BH} = q_0 + n q_{1/2} = \frac{m + \alpha n}{R},$$

where  $q_0$  was the Kaluza-Klein charge,  $q_0 = \frac{m}{R}$  with  $m \in \mathbb{Z}$ . The magnetic charge of the BH stays fixed at  $p_1$ .

## Black holes and Scherk-Schwarz - Micro

- Wrapping the M5 brane over a four-cycle still gives a BPS black string in  $D = 5$ , where susy is unbroken. Hence there is still a dual CFT, the (0,4) MSW.
- Wrap the string around the circle with twisted boundary conditions still gives a black hole, but susy is broken by the boundary conditions.
- Compute the thermal partition function of the MSW CFT with twisted boundary conditions. We twist with respect to  $U(1) \subset SU(2)_R$  inside right moving  $N = 4$  sector. Worldsheet fermions are  $R$ -charged.

## MSW and Scherk-Schwarz

Microscopically, we must compute the thermal partition function of MSW on the torus with twisted boundary condition on the right moving fermions (R-charged),

$$\begin{aligned}\psi(\sigma^0, \sigma^1 + 2\pi\tau_1) &= e^{+2\pi i\alpha} \psi(\sigma^0, \sigma^1) \quad \text{and} \\ \psi(\sigma^0 + 2\pi\tau_2, \sigma^1) &= -\psi(\sigma^0, \sigma^1),\end{aligned}$$

$\alpha = 1/2$  is antiperiodic, and  $\alpha = 0$  is periodic. Shift in mode numbers explains shift in quantization condition.

Sample calculation (free field approximation + preliminary !)

$$Z_R(\tau) = \left( \frac{1}{\sqrt{-i\tau\eta(\tau)}} \right)^{\frac{2c_R}{3}} \left( e^{-2\pi i(\alpha + \frac{1}{2})\alpha} \left( \frac{\vartheta \left[ \begin{matrix} \alpha + \frac{1}{2} \\ \alpha \end{matrix} \right]}{\eta(\tau)} \right) \right)^{\frac{c_R}{3}} .$$



There is only one polar term in the expansion of the partition function, that goes like ( $\alpha < \frac{1}{2}$ !)

$$q^{\frac{c_R \alpha^2}{6} - \frac{c_R}{24}}, \quad q \equiv e^{2\pi i \tau}$$

From this one can compute the asymptotic growth of states and hence the entropy:

$$\begin{aligned} S_{CFT} &= S_L + S_R \\ &= 2\pi \left[ \sqrt{\frac{c_L n_L}{6}} + \sqrt{\frac{c_R n_R}{6}} (1 - 4\alpha^2)^{\frac{1}{2}} \right] \end{aligned}$$

For  $\alpha = 0$ , standard result. Additional correction in  $\alpha < \frac{1}{2}$ .  
 Remember: twist parameter is equal to coupling constant in sutra.  
 Prediction for a macroscopic correction to the entropy ?!

# Conclusions

The main question is:

HOW RELIABLE IS ALL THIS ???

- Study stability of the vacuum. Susy breaking parameter is  $m_{1/2} = \frac{\alpha}{R}$  and  $\alpha$  is continuous and taken very small.
- Instabilities and Schwinger radiation. Threshold: BF-bound in  $AdS_2$ :

$$(m^2 - q^2)Q^2 + \frac{1}{4} < 0$$

- Possible emergency plan: Scherk-Schwarz twist that only partially breaks susy. This is possible  $N = 4 \rightarrow N = 2$ .
- Repeat story for Strominger-Vafa setup (D1-D5).
- Generalizations to AdS vacua/BH with no susy??