

# Effective Action from M-theory on twisted connected sums

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- ②  $G_2$  manifolds: An unique compactification class
- ③ Yet many questions

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- ② Non-abelian Gauge symmetry, charged matter spectrum

### ★ Conclusions

## ★ Motivation:

① M-theory: An unique set up

D.o.f. spinors  $2^{\lfloor \frac{D+1}{2} \rfloor - 1}$ , d.o.f. of bosons  $p_r(D)$ . So there is a maximal dimension  $D$  for super symmetric representations.

Supersymmetry representation of physical theories singles out especially two cases **W. Nahm 1978**.

- Eleven dimensional supergravity  $D = 11$  ✓
- Six dimensional superconformal field theory

The action of eleven dimensional supergravity was found by [Cremmer, Julia, Scherk 1978](#)

$$\begin{aligned}
 S_{11d} = & \frac{1}{2\kappa_{11}^2} \int \left( * \hat{R}_S - \frac{1}{2} d\hat{C} \wedge * d\hat{C} - *i \bar{\hat{\Psi}}_M \hat{\Gamma}^{MNP} \hat{D}_N \hat{\Psi}_P \right) \\
 & - \frac{1}{192\kappa_{11}^2} \int * \bar{\hat{\Psi}}_M \hat{\Gamma}^{MNPQRS} \hat{\Psi}_N (d\hat{C})_{[PQRS]} - \frac{1}{2\kappa_{11}^2} \int d\hat{C} \wedge *_{11} \hat{F} \\
 & - \frac{1}{12\kappa_{11}^2} \int d\hat{C} \wedge d\hat{C} \wedge C + \dots ,
 \end{aligned}$$

Here  $\kappa_{11} = \hat{G}_N$ ,  $\hat{\Psi}$  the gravitino,  $\hat{C}$  anti-symmetric 3-form,  $\hat{F}_{[MNPQ]} = 3\bar{\hat{\Psi}}_{[M} \hat{\Gamma}_{NP} \hat{\Psi}_{Q]} + \dots$  4-fermion int.

Simple unique beautiful starting point for K-K reduction

② G2 manifolds: To get  $\mathcal{N} = 1$  sugra in 4d, look in Berger's list of special holonomy manifolds. Beyond the generic cases,  $\exists$  two entries

- (vi)  $d = 7$ :  $\text{Hol}(g) = G_2$ ,  $G_2$ -mfld, Ricci-flat  $R_{ij}(g) = 0$ ,  $N = 1$  covariant constant spinor;  $\varphi$  associative 3-form,  $*\varphi$  coassociative 4-form. ✓
- (vii)  $d = 8$ :  $\text{Spin}(7)$  :  $N_+ = 1$ ,  $\psi$  Cayley 4-form

That is we get an unique K-K compactification, supposingly unifying non-perturbative String theories

③ Yet many questions:

- 1.) How to **construct compact**  $G_2$  manifolds?
- 2.) How to **geometrical engineer** the ones that yield interesting  $\mathcal{N} = 1$  supergravities including the standard model ?
- 3.) How to calculate Kaluza-Klein and **M-theory** corrections to the effective  $\mathcal{N} = 1$  supergravity action?
- 4.) How those **relate** to other  $\mathcal{N} = 1$  vacua?

## ★ Constructing $G_2$ Manifolds

- ① Structure theor. for  $SU_n$  and  $G_2$  holonomy mflds: To address 1.) recall the more familiar  $N = 2$  situation of CY manifolds  $X$  ( $n = 3$ )
- (iii)  $d = 2n$ ,  $n \geq 2$ :  $\text{Hol}(g) = SU_n$ , *CY-mfld*, *Ricci-flat*, *Kähler*,  $N_{\pm} = 1$  for  $n$  odd,  $N_+ = 2$  for  $n$  even,  $\omega$  *Kähler*  $(1, 1)$ -form and  $\Omega$  *hol.harm.*  $(n, 0)$ -form.

Existence Theorem of Yau <sup>1</sup>

$$K_X = -c_1(T_X) = 0 \rightarrow \exists \text{ unique}^1 g \text{ with } R_{i\bar{j}}(g) = 0$$

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<sup>1</sup>Given complex-  $(\Omega)$  and Kähler structure  $(\omega)$



Controlling  $K_X$  is trivial by multiplicative properties of Chern characters. Take a Fano variety such as  $\mathbb{P}^n$  and  $X_{n-1}$  as zero locus of a degree  $d$  hypersurface. Then

$$\begin{aligned} \text{ch}(T_X) &= \frac{\text{ch}(T_{\mathbb{P}^n})}{\text{ch}(\mathcal{N}_X)} = \frac{(1 + H)^{n+1}}{1 + dH} = 1 + c_1(T_X) + \dots \\ &= 1 + [(n + 1) - d]H + \dots, \quad \text{i.e.} \end{aligned}$$

$c_1(T_X) = 0 \Leftrightarrow d = (n + 1)$ . Strategy:

$$\begin{aligned} c_1(T_X) = 0 \rightarrow^1 R_{i\bar{j}}(g) = 0, \quad (R_{i\bar{j}}(g) = 0 \ \& \ \pi_1(X) \text{ fin.}) \rightarrow \\ \text{Hol}(g) = SU(n) \rightarrow \mathbf{N} = 2 \ 4d - \text{susy} \end{aligned}$$

## Remarks:

- Slight generalisations  $\mathbb{P}^n \rightarrow \mathbb{P}_{\Delta_n}$  with  $(\Delta_n, \Delta_n^*)$  a pair of reflexive polyhedra, yields  **$10^8$  families of compact CY 3-folds** and **4319 non-compact CY 3 folds**.
- Similar as above one can define a **non-compact CY** as  $X_n = \mathbb{P}^n \setminus \{P_{d=n+1}(\underline{x}) = 0\}$ . For an easy example think of  $n = 1$ , then  $X_1 = \{S^2 \setminus 2 \text{ points}\} \sim$  cylinder, which clearly allows a flat metric. In higher dimensions Tian & Yau established the existence of a no-where vanishing  $\Omega$  — trivializing  $K_X$  — together with a boundary asymptotic, so that Yau's theorem still applies.

$G_2$  structure manifolds:  $G_2$  is a 14d simply connected subgroup of  $SO(7)$ . Geometrically it arises as follows.  $\exists \varphi \in \Lambda_+^3(\mathbb{R}^7)^*$  a 3-form on  $\mathbb{R}^7$  such that

$$B_\varphi(X, Y) = -\frac{1}{3!}(X \lrcorner \varphi) \wedge (Y \lrcorner \varphi) \wedge \varphi \quad (1)$$

is a positive definite bilinear form with respect to an oriented volume form.  $GL(7, \mathbb{R})$  acts on  $\varphi$  and  $G_2$  is its fourteen dimensional stabilizer group [R.L. Bryant 1987](#).

A  $G_2$  structure on an oriented 7d manifold  $Y$  is a 3-form  $\varphi$  which is  $\forall p \in Y$  oriented isomorphic to  $\Lambda^3 T_p^* Y \simeq \Lambda_+^3(\mathbb{R}^7)^*$ . Via (1) this defines a Riemannian

metric on  $Y$

$$g_\varphi(X_p, Y_p) = \frac{B_\varphi(X_p, Y_p)(\partial_1|_p, \dots, \partial_7|_p)}{\text{vol}_\varphi(\partial_1|_p, \dots, \partial_7|_p)},$$

Theorem **Fernández & Gray 1983**  $Y$  has a holonomy  $\text{Hol}(g_\varphi) \subset G_2$  iff

$$d\varphi = 0, \quad d *_{g_\varphi} \varphi = 0.$$

$\text{Hol}(g_\varphi) = G_2$  iff  $\pi_1(Y)$  is **finite**.

- Note the non-linearity in harmonicity condition for  $\varphi$ .

- A harmonic  $G_2$  structure  $\varphi$  is called **torsion free** in the sense that the Levi-Cevita connection has  $G_2$  holonomy.
- “Strategy”: (1) Show existence of **torsion free**  $\varphi$  on  $Y$ .  
(2) show that  $\pi_1(Y)$  is finite.
- The first part has boring solutions:  $Y_0 = X \times S^1$ , with  $\theta$  the angl. coord. on  $S^1$  one has **torsion free**  $G_2$  structure

$$\varphi_0 = \gamma d\theta \wedge \omega + \operatorname{Re}(\Omega), \quad *\varphi_0 = \frac{1}{2}\omega^2 - \gamma d\theta \wedge \operatorname{Im}(\Omega)$$

But  $\pi_1(Y) = \mathbb{Z}$  and therefore  $\rightarrow SU_3$  holonomy and

$N = 2$  4d supergravity. ( $\gamma \in \mathbb{R}$ )

② The twisted gluing construction

**Mathematics** : Donaldson (?)  $\rightarrow$  Kovalev (2003)  $\rightarrow$   
Corti, Haskins, Nordström, Pacini (2013), Crowley  
Nordström (2015), Haskin, Hein, Nordström (2015)

**Physics**: Halverson and Morrison (2014,2015)  $\rightarrow$  Braun  
(2016)  $\rightarrow$  Braun and del Zotto (2017)

**Alternative approach**: First compact examples  
constructed by *Dominic Joyce (1994)* as  $Y = \widehat{T^7/G}$   
resolutions. ... Simons Collaboration

**Basic idea** of the twisted connected gluing:

- Construct two non-compact Calabi-Yau 3-fold as discussed by Tian and Yau, called  $X_{L/R}$ , where  $L/R$  stands for Left and Right
- Construct two product 7-folds  $Y_{L/R} = X_{L/R} \times S_{L/R}^1$ , with the “trivial” **torsion free**  $G_2$ -structures  $\varphi_0_{L/R}$ .
- Each  $X_{L/R}$  has a K3 called  $S_{L/R}$  removed.
- There is **another canonical**  $S_{L/R}^{*1}$  in  $\mathcal{N}_{S_{L/R}} \subset X_{L/R}$  parametrizing in polar coordinates  $|z| = e^t$  and  $\theta^*$  a disk

$$D_{L/R} \in X_{L/R}.$$

- Glue  $Y_L$  to  $Y_R$  to obtain  $Y$  so that
  - a)  $\varphi_0$  extend to a **torsion free  $G_2$  structure on  $Y$** . This requires a **hyperkähler rotation** on the  $K3$  boundaries
  - b) the infinite  $\pi_1(Y_{L/R})$  **becomes finite ( $\pi_1(Y) = 0$ )**. This is achieved as in the Hopf gluing of two solid tori here  $D_{L/R} \times S^1_{L/R}$  to an  $S^3$  with  **$\pi_1(S^3) = 0$** .

By the structure theorem  $Y$  is then a manifold whose metric has the **full  $G_2$  holonomy**.



Let us visualize this as good as we can:

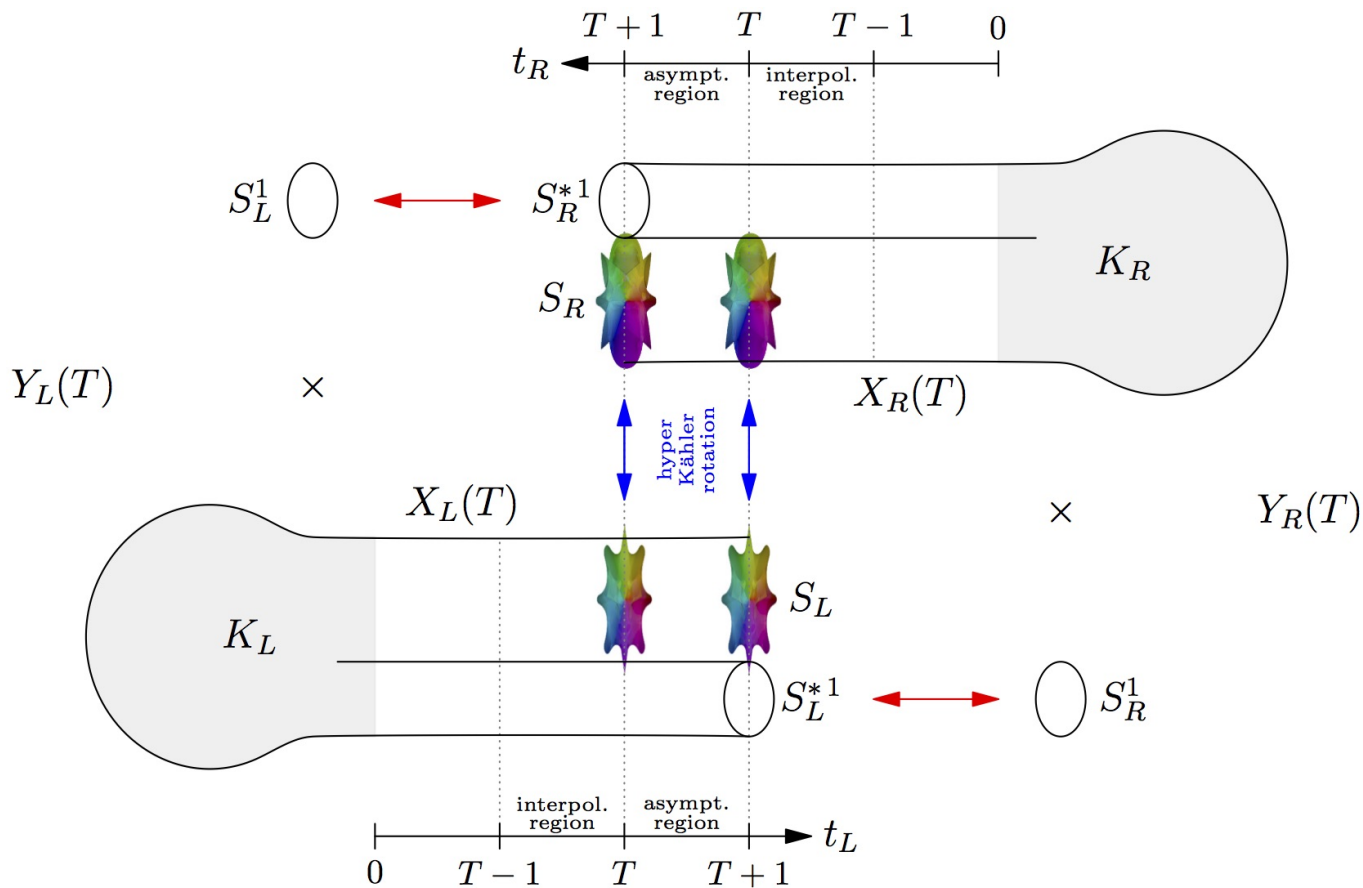


Figure 1: Kovalev's twisted connected sum construction.

**Gluing the asymptotic regions:** Before the  $K3$  is cut out, one needs to remove its self-intersection  $[S^2] = [C]$ .

That is achieved by blowing up along  $C$ . The asymptotic region near the  $K3$ , that is cut out, has hence a simple form, called **asymptotically cylindrical** Calabi-Yau 3-fold  $X^\infty = S \times \Delta^{cyl}$ , with  $\Delta^{cyl} = \{z \in \mathbb{C} \mid |z| > 1\}$ . In this region the Kähler- and the holomorphic 3-form are given by

$$\begin{aligned} \omega^\infty &= \gamma^{*2} \frac{idz \wedge d\bar{z}}{2z\bar{z}} + \omega_S = \gamma^{*2} dt \wedge d\theta^* + \omega_S , \\ \Omega^\infty &= -\gamma^* \frac{idz}{z} \wedge \Omega_S = \gamma^*(d\theta^* - idt) \wedge \Omega_S . \end{aligned} \tag{2}$$

Here  $(\omega_S, \Omega_S)$  are Kähler- and holomorphic two-form of  $S$ ,  $z = e^{t+i\theta^*}$  and  $\gamma^*$  the length scale of  $\Delta^{\text{cyl}}$ . Let  $K$  be the compact part of  $X$  then there is a diffeomorphism  $\eta : X^\infty \rightarrow X \setminus K$  so that

$$\begin{aligned} \eta^* \omega - \omega^\infty &= d\mu \quad \text{with} \quad |\nabla^k \mu| = O(e^{-\lambda \gamma^* \xi}) , \\ \eta^* \Omega - \Omega^\infty &= d\nu \quad \text{with} \quad |\nabla^k \nu| = O(e^{-\lambda \gamma^* \xi}) , \end{aligned}$$

with  $\lambda = \min \left\{ \frac{1}{\gamma^*}, \lambda_S \right\}$ . Here  $\lambda_S$  is the smallest positive eigenvalue of  $\nabla_S^2$ . This ensures that one can glue the asymptotic forms (2), which are fast enough approximated to yield a torsion free  $\varphi$  on  $Y$  as follows:

On the gluing region

$Y_{L/R}^\infty = X_{L/R}^\infty \times S_{L/R}^1 = S_{L/R} \times \Delta_{L/R}^{\text{cyl}} \times S_{L/R}^1$ , we define

$$\omega_{S_{L/R}}^\infty = \omega_{L/R}^I, \quad \Omega_{S_{L/R}}^\infty = \omega_{L/R}^J + i \omega_{L/R}^K.$$

We get then on  $Y_{L/R}^\infty$  a torsion free 3 – form

$$\begin{aligned} \varphi_{0L/R}^\infty = & \gamma_{L/R} d\theta_{L/R} \wedge \left( \gamma_{L/R}^{*2} dt_{L/R} \wedge d\theta_{L/R}^* + \omega_{S_{L/R}}^\infty \right) \\ & + \gamma_{L/R}^* d\theta_{L/R}^* \wedge \text{Re}(\Omega_{S_{L/R}}^\infty) + \gamma_{L/R}^* dt_{L/R} \wedge \text{Im}(\Omega_{S_{L/R}}^\infty). \end{aligned}$$

**The gluing diffeomorphism:** First we need the  $K3$  to be **isometric** with respect to a hyperkähler rotation

$$r : S_L \rightarrow S_R$$

$$r^* \omega_R^I = \omega_L^J, \quad r^* \omega_R^J = \omega_L^I, \quad r^* \omega_R^K = -\omega_L^K.$$

Then there is a family ( $\Lambda \in \mathbb{R}$ ) of gluing diffeomorphisms defined as [Kovalev \(2003\)](#)

$$F_\Lambda : (\theta_L^*, t_L, u_L^\alpha, \theta_L) \mapsto (\theta_R^*, t_R, u_R^\alpha, \theta_R) = (\theta_L, \Lambda - t_R, r(u_L^\alpha), \theta_L^*)$$

$(\theta_{L/R}^*, t_{R/L})$  of  $\Delta_{L/R}^{\text{cyl}}$ ,  $u_{L/R}^\alpha$  coords of  $S_{L/R}$ , and  $\theta_{L/R}$  of  $S_{L/R}^1$ . With  $\gamma := \gamma_L = \gamma_R = \gamma_L^* = \gamma_R^*$  it is easy to **check that**

$$F_\Lambda^* \varphi_{0R} = \varphi_{0L}.$$

With  $X_{L/R}(T) = K_{L/R} \cup \eta_{L/R}(\mathbb{R}_{<T+1})$  ,  $Y_{L/R}(T) = X_{L/R}(T) \times S^1_{L/R}$

$$Y = Y_L(T) \cup_{F_{2T+1}} Y_R(T) .$$

**Kovalev's checks** that  $Y$  is a  $G_2$  manifold in two steps:  
First he establishes analytically that

$$\begin{aligned} \tilde{\omega}_{L/R}^T &= \omega_{L/R} - d(\alpha(t - T)\mu_{L/R}) , \\ \tilde{\Omega}_{L/R}^T &= \Omega_{L/R} - d(\alpha(t - T)\nu_{L/R}) , \end{aligned}$$

define an interpolating  $G_2$  structure on  $Y_{L/R}$  as

$$\tilde{\varphi}_{L/R}(\gamma, T) = \gamma d\theta \wedge \tilde{\omega}_{L/R}^T + \operatorname{Re}(\tilde{\Omega}_{L/R}^T) ,$$

which extend to a torsion free  $G_2$  structure on  $Y$ , because the latter can be approximated as

$$\varphi(\gamma, T) = \tilde{\varphi}(\gamma, T) + d\rho(\gamma, T) \quad \text{with} \quad |\nabla^k \rho(\gamma, T)| = O(e^{-\gamma \lambda T})$$

in terms of the norm  $|\cdot|$  and the Levi–Civita connection  $\nabla$  of the metric induced from the asymptotic  $G_2$ -structure.

Secondly he shows topologically that

$$\pi_1(Y) = \pi_1(X_L) \times \pi_1(X_R)$$

which completes his proof that  $Y$  has full  $G_2$  holonomy •



Fibration Structures:  $Y_{L/R}$  is  $K3$  fibrations over solid tori

$$T_{L/R} \equiv S^1_{L/R} \times D_{L/R}$$

$$\begin{array}{ccc} S_{L/R} & \rightarrow & Y_{L/R} \\ & & \downarrow \pi \\ & & T_{L/R} . \end{array}$$

The gluing of two solid tori  $T_{L/R}$  to an  $S^3$  induces the fibration.

$$\begin{array}{ccc} S & \rightarrow & Y \\ & & \downarrow \pi \\ & & S^3 . \end{array}$$

③ Examples As we already mentioned there are 4319 examples of non-compact CY-folds  $X$  constructed in **weak Fano toric** ambient spaces. To easily establish the isometric map  $r : S_L \rightarrow S_R$  one needs the technical condition of **semi ample canonical class** (semi Fano), which leaves 899  $\mathbb{P}_\Delta$ , for which  $\Delta$  contains no codim 2-points lattice points. The latter have different Kähler cones, so roughly one gets  $10^8 \times m_g$  examples, where  $m_g$  is the gluing multiplicity of order  $O(10)$ .

They have to be distinguished by their Betti numbers. Using the Mayer-Vietoris sequence of the gluing map one

gets these **topological data** as

$$H^2(Y, \mathbb{Z}) \simeq (k_L \oplus k_R) \oplus (N_L \cap N_R) ,$$

$$H^3(Y, \mathbb{Z}) \simeq H^3(Z_L, \mathbb{Z}) \oplus H^3(Z_R, \mathbb{Z}) \oplus k_L \oplus k_R \oplus N_L \cap T_R \\ \oplus N_R \cap T_L \oplus \mathbb{Z}[S] \oplus L / (N_L + N_R) .$$

Here  $[S]$  is the Poincaré dual three-form of a the K3 fibre  $S$  in  $(Z_{L/R}, S_{L/R})$ ,  $L \simeq H^2(S_L, \mathbb{Z}) \simeq H^2(S_R, \mathbb{Z})$ . The inclusion  $\rho_{L/R} : S_{L/R} \hookrightarrow X_{L/R}$  induce maps  $\rho_{L/R}^* : H^2(X_{L/R}, \mathbb{Z}) \rightarrow L$  defining kernels  $k_{L/R} := \ker \rho_{L/R}^*$ , images  $N_{L/R} := \text{Im } \rho_{L/R}^*$ , and the transc. lattices  $T_{L/R} = N_{L/R}^\perp = \{l \in L \mid \langle l, N_{L/R} \rangle = 0\}$ .

## Orthonogonal gluing examples:

$W = N_L + N_R = N_L \perp_R N_R$ ,  $R = N_L \cap N_R$  and  $N_L^\perp \subset N_R$ .  $N_R^\perp \subset N_L$ . Building blocks

No.	rk $N$	$-K^3$	$\kappa$	$e$	$e^2$	$b_3(Z)$
MM27 <sub>3</sub> , K62 (Fano)	3	48	$\begin{pmatrix} 0 & 2 & 2 \\ 2 & 0 & 2 \\ 2 & 2 & 0 \end{pmatrix}$	$\begin{pmatrix} 1 \\ 0 \\ -1 \end{pmatrix}$	-4	50
MM25 <sub>3</sub> , K68 (Fano)	3	44	$\begin{pmatrix} 0 & 2 & 1 \\ 2 & 0 & 3 \\ 1 & 3 & -2 \end{pmatrix}$	$\begin{pmatrix} -1 \\ 1 \\ 0 \end{pmatrix}$	-4	46
MM31 <sub>3</sub> , K105 (Fano)	3	52	$\begin{pmatrix} 0 & 2 & 1 \\ 2 & 0 & 3 \\ 1 & 3 & -2 \end{pmatrix}$	$\begin{pmatrix} -1 \\ 1 \\ 0 \end{pmatrix}$	-4	54

Table 1: Data of low rank toric terminal Fano threefolds.  $R$  generated by a vector of length square  $-4$ .

The formulas for the Betti numbers simplify for the orthogonal gluing

$$b_2(Y) = \text{rk } R + \dim k_L + \dim k_R,$$

$$b_3(Y) = b_3(Z_L) + b_3(Z_R) + \dim k_L + \dim k_R - \text{rk } R + 23$$

$b_3(Y_{\dots})$	MM27 <sub>3</sub>	MM25 <sub>3</sub>	MM31 <sub>3</sub>	K124	MM12 <sub>4</sub>	MM10 <sub>4</sub>	K221	K232	K233	K247	K257
MM27 <sub>3</sub>	122	118	126	122	120	116	112	114	112	118	120
MM25 <sub>3</sub>	118	114	122	118	116	112	108	110	108	114	116
MM31 <sub>3</sub>	126	122	130	126	124	120	116	118	116	122	124
K124	122	118	126	122	120	116	112	114	112	118	120
MM12 <sub>4</sub>	120	116	124	120	118	114	110	112	110	116	118
MM10 <sub>4</sub>	116	112	120	116	114	110	106	108	106	112	114
K221	112	108	116	112	110	106	102	104	102	108	110
K232	114	110	118	114	112	108	104	106	104	110	112
K233	112	108	116	112	110	106	102	104	102	108	110
K247	118	114	122	118	116	112	108	110	108	114	116
K257	120	116	124	120	118	114	110	112	110	116	118

## ★ The effective action

### ① Homology and Spectrum:

Massless four-dimensional modes arise from the coefficients in the decomposition of the eleven-dimensional anti-symmetric three-form tensor  $\hat{C}$  as

$$\hat{C}(x, y) = \sum_I A^I(x) \wedge \omega_I^{(2)}(y) + \sum_i P^i(x) \wedge \rho_i^{(3)}(y) ,$$

in terms of the harmonic two-forms  $\omega_I^{(2)}$  and three-forms  $\rho_i^{(3)}$  identified with non-trivial cohomology representatives of  $H^2(Y)$  and  $H^3(Y)$  of dimension  $b_2(Y)$  and  $b_3(Y)$ ,

respectively. In the paper further details about the dimensional reduction of the fermions can be found. The spectrum is summarized as follows

Multiplicity	Massless 4d component fields		Massless 4d $\mathcal{N} = 1$ multiplets
	bosonic fields	fermionic fields	
1	metric $g_{\mu\nu}$	gravitino $\psi_\mu, \psi_\mu^*$	gravity multiplet
$i = 1, \dots, b_3(Y)$	scalars $(S^i, P^i)$	spinors $\chi^i, \chi^{*i}$	chiral multiplets $\Phi^i$
$I = 1, \dots, b_2(Y)$	vectors $A_\mu^I$	gauginos $\lambda_\alpha^I$	vector multiplets $V^I$

Note that on a smooth  $G_2$  manifold there are neither non-abelian Gauge groups nor charged matter.

② Kähler potential, gauge kinetic function, superpotential: The dimensional reduction of the Einstein–Hilbert term and the three-form tensor  $\hat{C}$  yields the four-dimensional action [Beasley Witten \(2002db\)](#)

$$\mathcal{S}_{4d}^{\text{bos}} = \frac{1}{2\kappa_4^2} \int \left[ *_4 R_S + \frac{\kappa_{IJK}}{2V_{Y_0}} (S^k F^I \wedge *_4 F^J - P^k F^I \wedge F^J) \right. \\ \left. - \frac{7}{2V_{Y_0}} \int_Y \rho_i^{(3)} \wedge *_7 \rho_j^{(3)} (dP^i \wedge *_4 dP^j - dS^i \wedge *_4 dS^j) \right]$$

in terms of the four-dimensional Hodge star  $*_4$ , the Ricci scalar  $R_S$  with respect to the metric  $g_{\mu\nu}$ , the reference volume  $V_{Y_0}$ , and the seven-dimensional Hodge star  $*_7$ .



From this we can derive the Kähler potential and the gauge kinetic coupling matrix

$$\begin{aligned}
 K(\phi, \bar{\phi}) &= -3 \log \left( \frac{1}{7} \int_Y \varphi \wedge *_{g_\varphi} \varphi \right) , \\
 f_{IJ}(\phi) &= 2V_{Y_0} \sum_k \phi^k \int_Y \omega_I^{(2)} \wedge \omega_J^{(2)} \wedge \rho_k^{(3)} \\
 &= 2V_{Y_0} \sum_k \kappa_{IJk} \phi^k ,
 \end{aligned}$$

as well as the superpotential

$$W(\phi^i) = \frac{1}{4V_{Y_0}} \int_Y G \wedge (C + i\varphi) .$$

④  $\mathcal{N} = 2$  and  $\mathcal{N} = 4$  sectors: Note first that the Kovalev construction implies that there is a scale  $2T + 1$ , which

seperates  $Z_L$  from  $Z_L$ . Taking this scale to  $\infty$  one roughly expects the spectrum to seperate into sectors

local geometry (Kovalev limit)	multiplicity of $\mathcal{N} = 1$ multiplets		$U(1)$ vector multiplets	
	$U(1)$ vectors	chirals	multiplicity	supersym.
$Y_L = S_L^1 \times X_L$ $SU(3)$ holonomy	$\dim k_L$	$\dim k_L$	$\dim k_L$	$\mathcal{N} = 2$
$Y_R = S_R^1 \times X_R$ $SU(3)$ holonomy	$\dim k_R$	$\dim k_R$	$\dim k_R$	$\mathcal{N} = 2$
$T^2 \times S \times (0, 1)$ $SU(2)$ holonomy	$\dim N_L \cap N_R$	$3 \cdot \dim N_L \cap N_R$	$\dim N_L \cap N_R$	$\mathcal{N} = 4$

③ **The Kovalon:** More precisely one has to define of course complex  $\mathcal{N} = 1$  neutral chiral moduli. We identify

**two universal moduli:**  $\nu$  related to the overall volume and  $\varkappa$  related to the gluing parameters so that

$$\operatorname{Re}(\nu) = v , \quad \operatorname{Re}(\varkappa) = vb .$$

Here  $b$  is the squashing parameter of the  $S^3$ . We refer to the chiral multiplet  $\varkappa$  as the **Kovalon**, as it describes in the limit  $\operatorname{Re}(\varkappa) \rightarrow +\infty$  — while keeping  $\operatorname{Re}(\nu)$  constant — the Kovalev limit.

The remaining real moduli fields are not universal and relate to the non-universal neutral chiral multiplets as

$$\operatorname{Re}(\phi^{\hat{i}}) = v\tilde{S}^{\hat{i}} , \quad \operatorname{Re}(\phi^{\tilde{i}}) = vb\tilde{S}^{\tilde{i}} .$$

They depend on the topological details of the building blocks  $(Z_{L/R}, S_{L/R})$  and the choice of gluing diffeomorphism.

Analysing the gluing maps allows e.g. to determine the leading order dependence on the **universal moduli**

$$K = -\log \left[ \left( V_{\tilde{S}}^{\tilde{g}}(\tilde{S}) \right)^3 (\nu + \bar{\nu})^4 (\varkappa + \bar{\varkappa})^3 + A(\tilde{S}, \nu + \bar{\nu}, \varkappa + \bar{\varkappa}) e^{-\lambda \frac{\varkappa + \bar{\varkappa}}{(\nu + \bar{\nu})^{1/3}}} \right],$$

where the coefficient of the exponentially suppressed correction is expected to generically depend on both universal and non-universal geometric moduli fields.

## ★ Transitions between $G_2$ manifolds:

Generally one expects in  $M$ -theory on  $G_2$  manifolds **gauge symmetry** from codimension four singularities, **charged matter** from codimension six singularities and **chiral spectra** at codimension seven. We can realize the first two phenomena on the building blocks using essentially  $\mathcal{N} = 2$  techniques. Our implications are that there are Higgs transitions these sectors which are compatible with the gluing diffeomorphism and lead to genuine  $G_2$  transitions.

① Abelian Gauge symmetry, charged matter spectrum:

For the semi-Fano threefold  $P$  we pick two global sections  $s_0$  and  $s_1$  of the anti-canonical divisor  $-K_P$ . However, instead of choosing a generic section  $s_0$ , we assume that the global section  $s_0$  factors into a product

$$s_0 = s_{0,1} \cdots s_{0,n} ,$$

such that  $s_{0,i}$  are global sections of line bundles  $\mathcal{L}_i$  with  $-K_P = \mathcal{L}_1 \otimes \cdots \otimes \mathcal{L}_n$ . As a consequence the curve  $\mathcal{C}_{\text{sing}} = \{s_0 = 0\} \cap \{s_1 = 0\}$  becomes reducible and

decomposes into

$$\mathcal{C}_{\text{sing}} = \sum_{i=1}^n \mathcal{C}_i, \quad \mathcal{C}_i = \{s_{0,i} = 0\} \cap \{s_1 = 0\},$$

where we assume that the individual curves  $\mathcal{C}_i$  are smooth and reduced. Following [Kovalev and Lee 2008](#), we construct the building block  $(Z^\sharp, S)$  associated to  $P$  by the sequence of blow-ups  $\pi_{\{\mathcal{C}_1, \dots, \mathcal{C}_n\}} : Z^\sharp \rightarrow P$  along the individual curves  $\mathcal{C}_i$  according to

$$Z^\sharp = \text{Bl}_{\{\mathcal{C}_1, \dots, \mathcal{C}_n\}} P = \text{Bl}_{\mathcal{C}_n} \text{Bl}_{\mathcal{C}_{n-1}} \cdots \text{Bl}_{\mathcal{C}_1} P.$$

Since the curves  $\mathcal{C}_i$  and the semi-Fano threefold  $P$  are

smooth, the blow-up  $Z^\sharp$  is smooth as well. As before, the K3 surface  $S$  arises as the proper transform of a smooth anti-canonical divisor  $S^\sharp = \{\alpha_0 s_0 + \alpha_1 s_1 = 0\} \subset P$  for some  $[\alpha_0 : \alpha_1] \in \mathbb{P}^1$ . By blowing up a semi-Fano threefold  $P$  the resulting dimension of the kernel  $k$  of  $\rho$

$$\dim k = n - 1 .$$

The three-form Betti number  $b_3(Z^\sharp)$  of the blown-up threefold  $Z^\sharp$  becomes

$$b_3(Z^\sharp) = b_3(P) + 2 \sum_{i=1}^n g(\mathcal{C}_i) ,$$



in terms of the three-form Betti number  $b_3(P)$  of the semi-Fano threefold  $P$  and the genera  $g(\mathcal{C}_i)$  of the smooth curve components  $\mathcal{C}_i$ . As all these curves  $\mathcal{C}_i$  lie in the K3 fiber  $S$ , the genus  $g(\mathcal{C}_i)$  is readily computed by the adjunction formula

$$g(\mathcal{C}_i) = \frac{1}{2}\mathcal{C}_i.\mathcal{C}_i + 1 ,$$

with the self-intersections  $\mathcal{C}_i.\mathcal{C}_i$  in  $S$ .

Locally the singularity looks near  $\pi^{-1}([1, 0])$  with

$t = \alpha_1/\alpha_0$  like

$$s_{0,1} \cdots s_{0,n} + ts_1 = 0 .$$

In particular near the intersection locii

$\mathcal{I}_{ij} = \{t = 0\} \cap \{s_1 = 0\} \cap \{s_{0,i} = 0\} \cap \{s_{0,j} = 0\}$  it looks like **conifolds**, which are **away** from the asymptotic  $K3$  fibres at  $\alpha_0, \alpha_1 \neq 0$  involved in the gluing. This yields the following abelian gauge group

$$U(1)^{n-1} \sim \frac{U(1)_1 \times \dots \times U(1)_n}{U(1)_{diag}}$$

with the matter whose charges are determined by the

intersections  $\mathcal{X}_{ij} = C_j \cdot C_j$  and as displayed in the table:

Multiplicity	$\mathcal{N} = 2$ multiplets		$\mathcal{N} = 1$ multiplets	
	$U(1)^{n-1}$ charges	multiplet	$U(1)^{n-1}$ charges	multiplet
$n - 1$	$(0, 0, \dots, 0)$	vector	$(0, \dots, 0)$	vector
			$(0, \dots, 0)$	chiral
$\chi_{ij}$ $1 \leq i < j < n$	$(0, \dots, +1_i, \dots, +1_j, \dots, 0)$	hyper	$(0, \dots, +1_i, \dots, +1_j, \dots, 0)$	chiral
			$(0, \dots, -1_i, \dots, -1_j, \dots, 0)$	chiral
$\chi_{in}$ $1 \leq i < n$	$(0, \dots, +1_i, \dots, 0)$	hyper	$(0, \dots, +1_i, \dots, 0)$	chiral
			$(0, \dots, -1_i, \dots, 0)$	chiral

**Table 2:** The table shows the spectrum of the Abelian  $\mathcal{N} = 2$  gauge theory sector arising from the conifold singularities in the building block  $(Z_{\text{sing}}, S)$ .

**Higgs Transitions:**

$$b_2(Y^a) = b_2(Y^b) - n - 1$$

$$b_3(Y^a) = b_3(Y^b) + 2 \left( \sum_{1 \leq i < j \leq n} \chi_{ij} \right) - 3(n - 1)$$

## ② Non-abelian Gauge symmetry, charged matter spectrum

Let us now turn to the enhancement to non-Abelian  $\mathcal{N} = 2$  gauge theory sectors in the context of twisted connected  $G_2$ -manifolds. Let us assume that the anti-canonical line bundle  $-K_P$  of the semi-Fano

threefold  $P$  factors as

$$-K_P = \tilde{\mathcal{L}}_1^{\otimes k_1} \otimes \dots \otimes \tilde{\mathcal{L}}_s^{\otimes k_s} \quad \text{with} \quad n = k_1 + \dots + k_s ,$$

where  $\tilde{\mathcal{L}}_i$  are line bundles with global sections  $\tilde{s}_{0,i}$ . Then the global section  $s_0$  of  $-K_P$  can further degenerate to  $s_0 = \tilde{s}_{0,1}^{k_1} \cdots \tilde{s}_{0,s}^{k_s}$  and the singular building block is of the form

$$Z_{\text{sing}} = \left\{ (x, z) \in P \times \mathbb{P}^1 \mid \alpha_0 \tilde{s}_{0,1}^{k_1} \cdots \tilde{s}_{0,s}^{k_s} + \alpha_1 s_1 = 0 \right\} , \quad (3)$$

with the singular equation in the affine coordinate  $t = \frac{z_1}{z_0}$

given by

$$\tilde{s}_{0,1}^{k_1} \cdots \tilde{s}_{0,s}^{k_s} + ts_1 = 0 .$$

As before we assume that all curves  $\tilde{C}_i = \{\tilde{s}_{0,i} = 0\} \cap \{s_1 = 0\}$  are smooth. In the vicinity of the singular fiber  $\pi^{-1}([1, 0]) \subset Z_{\text{sing}}$  the singular building block  $(Z_{\text{sing}}, S)$  develops  $A_{k_i-1}$ -singularities along those curves  $\tilde{C}_i$  with  $k_i > 1$ .

Multiplicity	$\mathcal{N} = 2$ multiplets		$\mathcal{N} = 1$ multiplets	
	$G$ reps.	multiplet	$G$ reps.	multiplet
$s - 1$	$\mathbf{1}$	$U(1)$ vector	$\mathbf{1}$ $\mathbf{1}$	$U(1)$ vector chiral
$i = 1, \dots, s$	$\mathbf{adj}_{SU(k_i)}$	$SU(k_i)$ vector	$\mathbf{adj}_{SU(k_i)}$ $\mathbf{adj}_{SU(k_i)}$	$SU(k_i)$ vector chiral
$g(\tilde{\mathcal{C}}_i)$ $1 \leq i \leq s$	$\mathbf{adj}_{SU(k_i)}$	hyper	$\mathbf{adj}_{SU(k_i)}$ $\mathbf{adj}_{SU(k_i)}$	chiral chiral
$\tilde{\chi}_{ij}$ $1 \leq i < j < s$	$(\mathbf{k}_i, \mathbf{k}_j)_{(+1_i, +1_j)}$	hyper	$(\mathbf{k}_i, \mathbf{k}_j)_{(+1_i, +1_j)}$ $(\bar{\mathbf{k}}_i, \bar{\mathbf{k}}_j)_{(-1_i, -1_j)}$	chiral chiral
$\tilde{\chi}_{in}$ $1 \leq i < n$	$(\mathbf{k}_i, \mathbf{k}_n)_{(+1_i)}$	hyper	$(\mathbf{k}_i, \mathbf{k}_n)_{(+1_i)}$ $(\bar{\mathbf{k}}_i, \bar{\mathbf{k}}_n)_{(-1_i)}$	chiral chiral

**Table 3:** The table shows the spectrum of the  $\mathcal{N} = 2$  gauge theory sector with gauge group  $G = SU(k_1) \times \dots \times SU(k_s) \times U(1)^{s-1}$  as arising from the non-Abelian building blocks  $(Z_{\text{sing}}, S)$ . It lists both the four-dimensional  $\mathcal{N} = 2$  and the four-dimensional  $\mathcal{N} = 1$  multiplet structure. The adjoint matter is determined by the genus  $g(\tilde{\mathcal{C}}_i)$  of the curves  $\tilde{\mathcal{C}}_i$ , whereas the bi-fundamental matter from their intersection numbers  $\tilde{\chi}_{ij}$  within the K3 surface  $S$ .

No.	$\rho$	Gauge Group	$\mathcal{N} = 2$ Hypermultiplet spectrum	$h^b$	$c^\sharp$	$b_3^b$	$b_3^\sharp$	$k^\sharp$
K24, MM34 <sub>2</sub>	2	$SU(3) \times SU(2)$ $\times U(1)$	$2 \times (\mathbf{adj}, \mathbf{1}); (\mathbf{1}, \mathbf{adj}); 3 \times (\mathbf{3}, \mathbf{2})_{+1}$	50	14	79	43	4
K32	2	$SU(3)^2 \times U(1)$	$(\mathbf{adj}, \mathbf{1}); (\mathbf{1}, \mathbf{adj}); 3 \times (\mathbf{3}, \mathbf{3})_{+1}$	52	13	79	40	5
K35, MM36 <sub>2</sub>	2	$SU(5) \times SU(2)$ $\times U(1)$	$2 \times (\mathbf{adj}, \mathbf{1}); (\mathbf{5}, \mathbf{2})_{+1}$	60	22	87	49	6
K36, MM35 <sub>2</sub>	2	$SU(4) \times SU(2)$ $\times U(1)$	$2 \times (\mathbf{adj}, \mathbf{1}); 2 \times (\mathbf{4}, \mathbf{2})_{+1}$	54	17	81	44	5
K37, MM33 <sub>2</sub>	2	$SU(4) \times SU(3)$ $\times U(1)$	$(\mathbf{adj}, \mathbf{1}); 3 \times (\mathbf{4}, \mathbf{3})_{+1}$	54	12	79	37	6

**Table 4:** The table exhibits the  $\mathcal{N} = 2$  gauge theory sectors for some smooth toric semi-Fano threefolds  $P_\Sigma$  of Picard rank two and higher. The columns display the number of the threefold  $P_\Sigma$  in the Mori–Mukai and/or Kasprzyk classification, its Picard rank  $\rho$ , the maximally enhanced gauge group of maximal rank by factorizing the anti-canonical bundle, the  $\mathcal{N} = 2$  matter hypermultiplets, the complex dimensions  $h^b$  and  $c^\sharp$  of the Higgs and Coulomb branches, the reduced three-form Betti numbers  $b_3^b$  and  $b_3^\sharp$ , and the kernel  $k^\sharp$  of the Coulomb branch.



## ★ Conclusions

- $10^8$  new  $G_2$  manifolds
- universal moduli, splitting of spectrum in sectors
- abelian and non abelian gauge symmetries with non chiral matter
- $G_2$  transitions