



# THERMAL EQUIVARIANCE AND ITS APPLICATIONS

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- ❖ Basic philosophy: [1510.02494]\*
- ❖ Dissipative hydrodynamic actions: [1511.07809]
- ❖ Origins in Schwinger-Keldysh: [1610.01940]
- ❖ **Thermal Equivariance: [1610.01941]**

❖ \* Classification of solutions to hydro axioms: [1412.1090] [1502.00636]



*Prelude: On that which came before....*



# CONTEXTUALIZATION

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Schwinger 1961  
Keldysh 1964  
Feynman, Vernon 1963

Kubo 1957  
Martin, Schwinger 1959

Review: Chou, Su, Hao, Yu 1985

*Schwinger-Keldysh & KMS*

Martin, Siggia, Rose 1973  
Parisi, Sourlas 1982

Review: Zinn-Justin 2002

cf., Janssen 1976 &  
de Dominicis, Peliti 1978

*Topological symmetry & Dissipation*

Witten 1988  
Vafa, Witten 1994  
Dijkgraaf, Moore 1996

Review: Cordes, Moore, Ramgoolam 1995  
Guillemin, Sternberg 2013

cf., Blau, Thompson 1997  
Zucchini 1998  
Gozzi, Reuter 1990

*Equivariance &  
Topological Sigma Models*

# CONTEXTUALIZATION

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Banerjee, Bhattacharya, Bhattacharyya, Jain, Minwalla, Sharma 2012  
Jensen, Kaminski, Kovtun, Myer, Ritz, Yarom 2012

**Sayantani Bhattacharyya** 2012, 2014



*Equilibrium, Entropy, and all that...*

Jarzynski 1996, 1997  
Crooks 1999

Mallick, Moshe, Orland 2010  
Gaspard 2012

Nickel, Son 2010

Dubovsky, Hui, Nicolis 2011

Kovtun, Moore, Romatschke 2014

Haehl, Loganayagam, MR 2014-15

*Non-equilibrium 2nd law*

*Effective actions for hydro*



*and that which is...*



## RELATED WORK

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Crossley, Glorioso, Liu [1511.03646]  
Glorioso, Liu [1612.07705]

Crossley, Glorioso, Liu [1701.07817]  
Gao, Liu [1701.07445]

Jensen, Pinzani-Fokeeva, Yarom [1701.07436]

*Commentary & comparison of 1st two papers (CGL/GL) in 1701.07896 (HLR)*

## *Act 1*

*in which we meet*

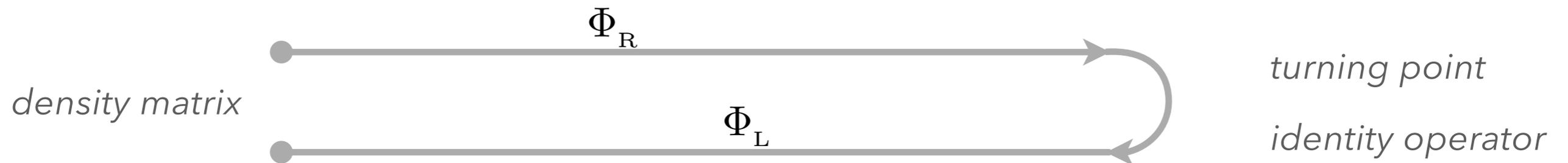
*Schwinger-Keldysh and Kubo-Martin-Schwinger*

*and find a useful way to represent them...*

# SCHWINGER-KELDysh FORMALISM

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- ♦ The Schwinger-Keldysh formalism computes singly out-of-time ordered correlation functions in a generic (mixed) state.



$$S_{SK} = S[\Phi_R] - S[\Phi_L]$$

$$\delta S_{SK} = \int d^d x \sqrt{-g} (\mathcal{J}_R \mathcal{O}_R - \mathcal{J}_L \mathcal{O}_L)$$

Generating functional

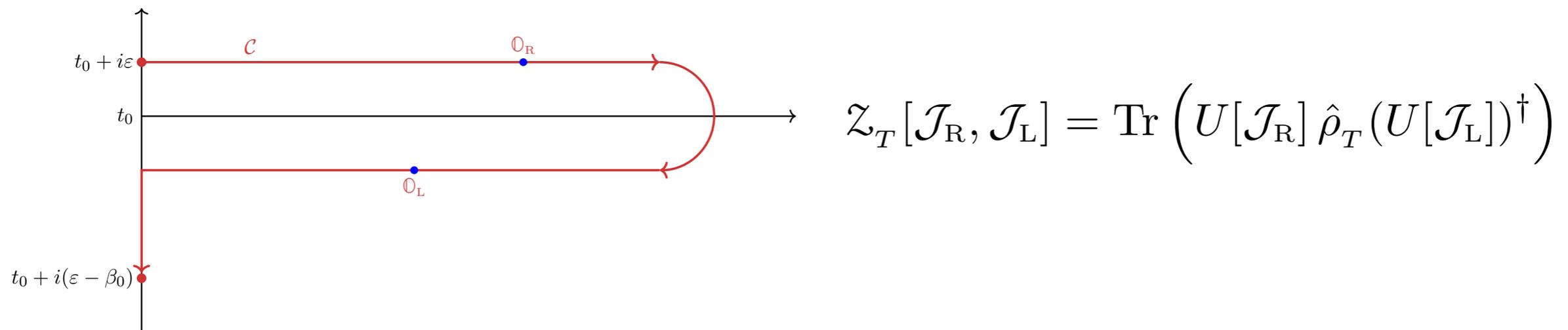
$$\mathcal{Z}_{SK}[J_R, J_L] \equiv \text{Tr} \left\{ U[J_R] \hat{\rho}_{\text{initial}} (U[J_L])^\dagger \right\}$$

Time ordered correlations

$$\text{Tr} \left( \hat{\rho}_{\text{initial}} \bar{\mathcal{T}} \left( U^\dagger \mathcal{O}_L U^\dagger \mathcal{O}_L \dots \right) \mathcal{T} \left( U \mathcal{O}_R U \mathcal{O}_R \dots \right) \right)$$

# THERMAL DENSITY MATRICES & KMS CONDITION

- ◆ Thermal density matrices  $\hat{\rho}_T = e^{-\beta(\hat{H} - \mu_I \hat{Q}^I)}$  define stationary equilibrium configurations.
- ◆ Correlation functions have analyticity properties which allows for a Euclidean (Matsubara) formulation, cf.,  $\mathcal{Z}_T(\beta, \mu_I) = \text{Tr}(\hat{\rho}_T)$



- ◆ KMS condition asserts that the correlation functions are analytic in the time strip  $0 < \Im(t) < \beta$ .
- ◆ This can be rephrased as a thermal Ward identity for correlation functions which involve operators shifted by a imaginary thermal period.

# TWO SUM RULES

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- ◆ *Unitarity* of Schwinger-Keldysh path integral implies vanishing difference operator correlators:

$$\langle \mathcal{T}_{SK} \prod_k \left( \mathbb{O}_R^{(k)} - \mathbb{O}_L^{(k)} \right) \rangle \equiv \langle \mathcal{T}_{SK} \prod_k \mathbb{O}_{dif}^{(k)} \rangle = 0$$

*Weldon '05*

- ◆ The KMS condition translates into a second sum rule for thermal differences:

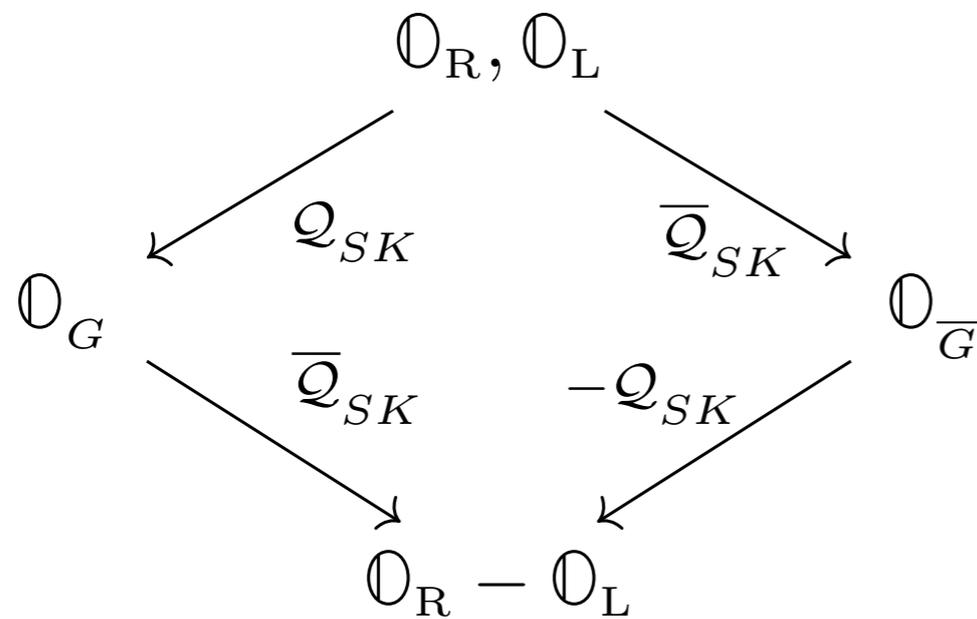
$$\langle \mathcal{T}_{SK} \prod_{k=1}^n \left( \mathbb{O}_R^{(k)} - \tilde{\mathbb{O}}_L^{(k)} \right) \rangle = \langle \mathcal{T}_{SK} \prod_{i=1}^n \mathbb{O}_{ret} \rangle = 0$$

- ◆ Keldysh (light-cone) basis  $\mathbb{O}_{dif} \equiv \mathbb{O}_R - \mathbb{O}_L$  ,  $\mathbb{O}_{av} \equiv \frac{1}{2} (\mathbb{O}_R + \mathbb{O}_L)$
- ◆ Adv-Ret basis  $\mathbb{O}_{adv} \equiv \mathbb{O}_R - \mathbb{O}_L$  ,  $i\Delta_\beta \mathbb{O}_{ret} = \mathbb{O}_R - e^{-i\delta_\beta} \mathbb{O}_L$

- ◆ Furthermore, *a largest time* and *thermal smallest time* equations hold.

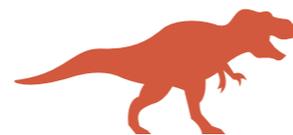
# THE SCHWINGER-KELDysh SUPER-QUARTET

- ◆ Difference operator correlation functions vanish because they are trivial elements of a BRST cohomology.
- ◆ There exists a pair of Grassmann odd charges which act on the doubled operator algebra.
- ◆ The SK theory is covariantly expressed in terms of a quartet of fields, which usual doubled formalism being a gauge fixed version (ghosts = 0).



$$Q_{SK}^2 = \bar{Q}_{SK}^2 = [Q_{SK}, \bar{Q}_{SK}]_{\pm} = 0$$

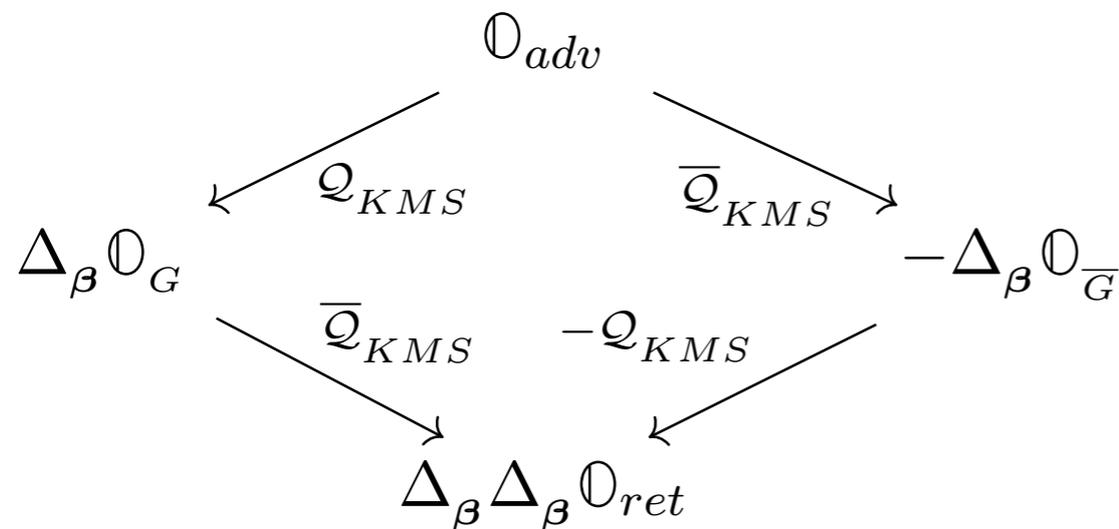
$$[Q_{SK}, \mathcal{O}_{dif}]_{\pm} = 0, \quad [\bar{Q}_{SK}, \mathcal{O}_{dif}]_{\pm} = 0$$



CGL argue that this should only be interpreted as a single supercharge  $\delta$ , but the pair above are CPT conjugates (cf., anti-BRST).

# THE KMS SUPERCHARGES: I

- ◆ The second sum rule suggests an analogous structure should pertain in the thermal sector, with new supercharges aligned to the thermal translations.



$$Q_{KMS}^2 = \bar{Q}_{KMS}^2 = [Q_{KMS}, \bar{Q}_{KMS}]_{\pm} = 0$$

$$[Q_{KMS}, O_{ret}]_{\pm} = [\bar{Q}_{KMS}, O_{ret}]_{\pm} = 0$$



CGL posit that the KMS condition should be viewed as an involution leading to a second supercharge  $\bar{\delta}$ .

# THE SK-KMS ALGEBRA

---

- ♦ The SK and KMS operations (Grassmann odd) form a closed super algebra with further two Grassmann even operations
- ♦ One the even operations is a **thermal translation**: Lie drag along the Euclidean thermal circle.

$$\begin{aligned} Q_{SK}^2 &= \bar{Q}_{SK}^2 = Q_{KMS}^2 = \bar{Q}_{KMS}^2 = 0, \\ [Q_{SK}, Q_{KMS}]_{\pm} &= [\bar{Q}_{SK}, \bar{Q}_{KMS}]_{\pm} = [\bar{Q}_{SK}, Q_{SK}]_{\pm} = [Q_{KMS}, \bar{Q}_{KMS}]_{\pm} = 0, \\ [Q_{SK}, \bar{Q}_{KMS}]_{\pm} &= [\bar{Q}_{SK}, Q_{KMS}]_{\pm} = \mathcal{L}_{KMS}, \\ [Q_{KMS}, Q_{KMS}^0]_{\pm} &= [\bar{Q}_{KMS}, Q_{KMS}^0]_{\pm} = 0, \\ [Q_{SK}, Q_{KMS}^0]_{\pm} &= Q_{KMS}, \quad [\bar{Q}_{SK}, Q_{KMS}^0]_{\pm} = -\bar{Q}_{KMS}. \end{aligned}$$

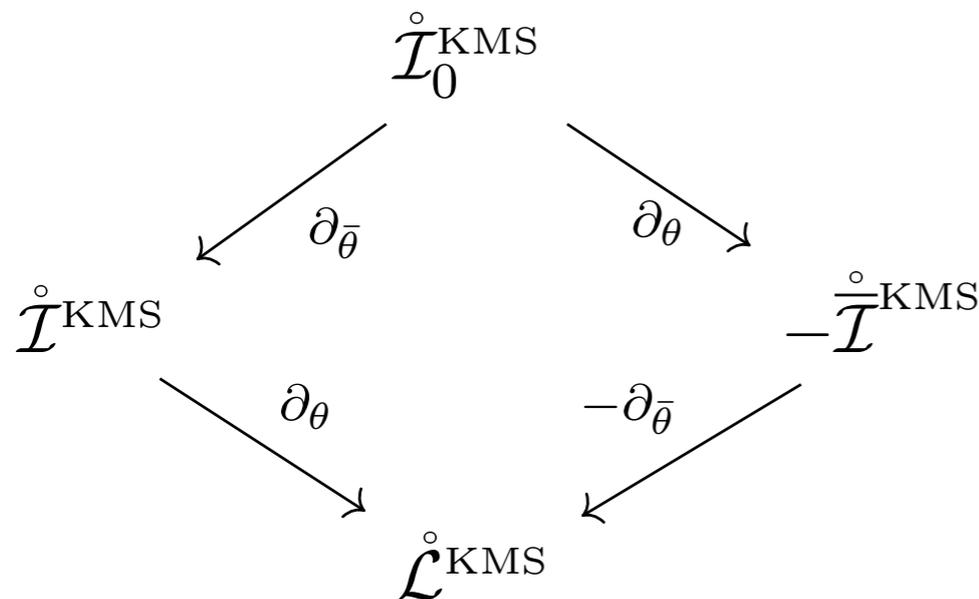
# SUPERSPACE CHARGES

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- ♦ The structure is easily understood by passing onto a superspace construction, where the SK charges act as superderivations.

$$\mathring{\mathcal{O}} \equiv \mathcal{O}_{ret} + \theta \mathcal{O}_{\bar{G}} + \bar{\theta} \mathcal{O}_G + \bar{\theta}\theta \mathcal{O}_{adv}$$

$$\mathcal{Q}_{SK} \longrightarrow \partial_{\bar{\theta}}, \quad \bar{\mathcal{Q}}_{SK} \longrightarrow \partial_{\theta}$$



$$\mathring{\mathcal{I}}_0^{KMS} = \mathcal{Q}_{KMS}^0 + \bar{\theta} \mathcal{Q}_{KMS} - \theta \bar{\mathcal{Q}}_{KMS} + \bar{\theta}\theta \mathcal{L}_{KMS},$$

$$\mathring{\mathcal{I}}^{KMS} = \mathcal{Q}_{KMS} + \theta \mathcal{L}_{KMS},$$

$$\mathring{\bar{\mathcal{I}}}^{KMS} = \bar{\mathcal{Q}}_{KMS} + \bar{\theta} \mathcal{L}_{KMS},$$

$$\mathring{\mathcal{L}}^{KMS} = \mathcal{L}_{KMS}.$$

## *Act II*

*in which we meet Weil and Cartan and  
learn of equivariance....*

# EQUIVARIANCE

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- ◆ Equivariance = cohomology with gauge symmetry
- ◆ To understand cohomology on an orbifold  $\mathcal{M}/\mathcal{G}$  we use the Borel construction to work with the contractible universal  $\mathcal{G}$  bundle  $\mathcal{E}_{\mathcal{G}}$ .
- ◆ Classifying space  $\mathcal{B}_{\mathcal{G}} = \mathcal{E}_{\mathcal{G}}/\mathcal{G}$  is smooth as group action is free on the universal bundle.
- ◆ eg.,  $\mathbf{S}^1 = \mathbb{R}/\mathbb{Z}$
- ◆ cohomology of  $\mathcal{M}/\mathcal{G} =$  cohomology of  $(\mathcal{E}_{\mathcal{G}} \times \mathcal{M})/\mathcal{G}$
- ◆ Physically think of  $\mathcal{E}_{\mathcal{G}}$  as the space of the universal  $\mathcal{G}$  gauge connections and  $\mathcal{B}_{\mathcal{G}}$  as the space of gauge orbits. This picture is efficient to write superspace Lagrangians.
- ◆ Cohomology of interest will be the space of invariant horizontal forms.

*Matthai, Quillen '86*  
*Kalkman '93*

# THE WEIL MODEL

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- ◆ Gauge structure can be captured by a Grassmann odd gauge potential (fermions = differential forms) and its field strength

$$\begin{aligned} \mathbb{d}_W^E G^i + \frac{1}{2} f_{jk}^i G^j G^k &= \phi^i, \\ \mathbb{d}_W^E \phi^i + f_{jk}^i G^j \phi^k &= 0. \end{aligned}$$

Cartan equations for gauge structure

$$\delta_j^i + \bar{\mathcal{I}}_j^E G^i = 0, \quad \bar{\mathcal{I}}_j^E \phi^i = 0.$$

interior contractions pick out gauge directions

$$\mathcal{L}_j^E \equiv \left[ \mathbb{d}_W^E, \bar{\mathcal{I}}_j^E \right]_{\pm}$$

Lie derivations follow from above

Weil superalgebra

$$\begin{aligned} \left[ \bar{\mathcal{I}}_i^E, \bar{\mathcal{I}}_j^E \right]_{\pm} &= 0, & \left[ \mathbb{d}_W^E, \bar{\mathcal{I}}_j^E \right]_{\pm} &= \mathcal{L}_j^E, \\ \left[ \mathcal{L}_i^E, \bar{\mathcal{I}}_j^E \right]_{\pm} &= -f_{ij}^k \bar{\mathcal{I}}_k^E, & \left[ \mathbb{d}_W^E, \mathcal{L}_j^E \right]_{\pm} &= 0, \\ \left[ \mathcal{L}_i^E, \mathcal{L}_j^E \right]_{\pm} &= -f_{ij}^k \mathcal{L}_k^E, & \left[ \mathbb{d}_W^E, \mathbb{d}_W^E \right]_{\pm} &= 0. \end{aligned}$$

- ◆ *invariant horizontal forms are polynomial functions of field strengths.*

# CARTAN MODEL

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- ♦ One can similarly account for the group action on the manifold by working with coordinate vectors and Grassmann fields (for 1-forms).
- ♦ Since cohomology is in gauge invariant data, helpful to pass to gauge covariant language (Kalkman automorphism)

$$d_C X^\mu \equiv d_W X^\mu + G^k \xi_k^\mu = \psi_C^\mu,$$

$$d_C \psi_C^\mu \equiv d_W \psi_C^\mu + G^k (\partial_\nu \xi_k^\mu) \psi_C^\nu = \phi^k \xi_k^\mu$$

$$d_C \phi^k \equiv d_W \phi^k + f_{ij}^k G^i \phi^j = 0.$$

action of Cartan charges on target space and field strengths

The two charges act isomorphically on horizontal, invariant forms.

$$d_C = d_W + G^i \mathcal{L}_i + \left( \frac{1}{2} f_{ij}^k G^i G^j + \phi^k \right) \bar{\mathcal{L}}_k$$

The Cartan charge however squares to a gauge transformation

$$d_C^2 = \phi^k \mathcal{L}_k - [G, \phi]^k \bar{\mathcal{L}}_k$$

# EXTENDED EQUIVARIANCE I

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- ♦ One can extend the algebraic constructions to situations with more than one differential. We will focus on the case with 2 generators of the cohomology and swiftly pass to superspace:  $d_W = \partial_{\bar{\theta}}(\dots)|$ ,  $\bar{d}_W = \partial_{\theta}(\dots)|$ .
- ♦ The Weil model closes on 6 generators: 2 derivations, 3 interior contraction, and one Lie derivation

*Vafa, Witten '94*  
*Dijkgraaf, Moore '96*

$$\begin{aligned}
 d_W^2 &= \bar{d}_W^2 = [d_W, \bar{d}_W]_{\pm} = 0 \\
 [d_W, \bar{\mathcal{I}}_j]_{\pm} &= [\bar{d}_W, \mathcal{I}_j]_{\pm} = \mathcal{L}_j, & [d_W, \mathcal{I}_j]_{\pm} &= [\bar{d}_W, \bar{\mathcal{I}}_j]_{\pm} = 0 \\
 [d_W, \mathcal{I}_j^0]_{\pm} &= \mathcal{I}_j, & [\bar{d}_W, \mathcal{I}_j^0]_{\pm} &= -\bar{\mathcal{I}}_j \\
 [d_W, \mathcal{L}_j]_{\pm} &= [\bar{d}_W, \mathcal{L}_j]_{\pm} = 0 \\
 [\bar{\mathcal{I}}_i, \mathcal{I}_j]_{\pm} &= f_{ij}^k \mathcal{I}_k^0 \\
 [\mathcal{L}_i, \bar{\mathcal{I}}_j]_{\pm} &= -f_{ij}^k \bar{\mathcal{I}}_k, & [\mathcal{L}_i, \mathcal{I}_j]_{\pm} &= -f_{ij}^k \mathcal{I}_k, & [\mathcal{L}_i, \mathcal{I}_j^0]_{\pm} &= -f_{ij}^k \mathcal{I}_k^0.
 \end{aligned}$$

- ♦ *this should be reminiscent of structures in Act 1....*

# EXTENDED EQUIVARIANCE II

- ◆ Package the universal data into a set of gauge superfield 1-form which we assume lives on a worldvolume with coordinates  $\sigma^a$ .

$$\mathring{A} = \mathring{A}_I dz^I = \mathring{A}_a d\sigma^a + \mathring{A}_\theta d\theta + \mathring{A}_{\bar{\theta}} d\bar{\theta}.$$

$$\mathring{D}_I = \partial_I + [\mathring{A}_I, \cdot], \quad \mathring{\mathcal{F}}_{IJ} \equiv \left(1 - \frac{1}{2} \delta_{IJ}\right) \left(\partial_I \mathring{A}_J - (-)^{IJ} \partial_J \mathring{A}_I + [\mathring{A}_I, \mathring{A}_J]\right)$$

ghost charge	Faddeev-Popov ghost triplet	Vafa-Witten ghost of ghost quintet	Vector quartet
2		$\phi$	
1	$G$	$\eta$	$\lambda_a$
0	$B$	$\phi^0$	$\mathcal{A}_a \quad \mathcal{F}_a$
-1	$\bar{G}$	$\bar{\eta}$	$\bar{\lambda}_a$
-2		$\bar{\phi}$	

- ◆ Cartan charges are gauge-covariant super derivations and obey:

$$\mathring{D}_{\bar{\theta}}^2 = \mathring{\mathcal{L}}_{\mathring{\mathcal{F}}_{\bar{\theta}\bar{\theta}}}, \quad \mathring{D}_{\theta}^2 = \mathring{\mathcal{L}}_{\mathring{\mathcal{F}}_{\theta\theta}}, \quad \left[\mathring{D}_{\bar{\theta}}, \mathring{D}_{\theta}\right]_{\pm} = \mathring{\mathcal{L}}_{\mathring{\mathcal{F}}_{\theta\bar{\theta}}}.$$

## *Act III*

*where we attempt a synthesis of SK-KMS with a touch of equivariance...*

# SK-KMS THERMAL EQUIVARIANCE

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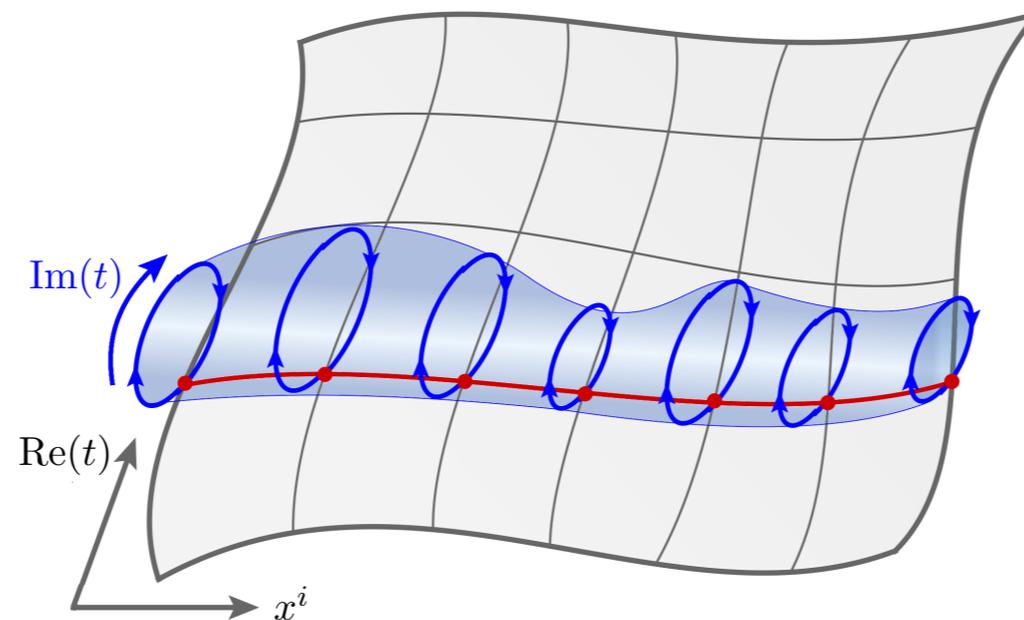
- ◆ SK charges are akin to Weil differentials, while the KMS charges fill out the interior contractions.
- ◆ The Lie derivation takes operators around the thermal circle.

$$\begin{array}{c|c} \underline{\mathcal{N}_T = 2 \text{ algebra}} & \underline{\text{SK-KMS symmetries}} \\ \{d_W, \bar{d}_W\} & \leftrightarrow \{Q_{SK}, \bar{Q}_{SK}\}, \\ \{\mathcal{I}_k, \bar{\mathcal{I}}_k\} & \leftrightarrow \{Q_{KMS}, \bar{Q}_{KMS}\}, \\ \{\mathcal{L}_k, \mathcal{I}_k^0\} & \leftrightarrow \{\mathcal{L}_{KMS}, Q_{KMS}^0\}. \end{array}$$

- ◆ The algebraic structure for arbitrary temperature is complicated by non-locality of thermal translations.
- ◆ Some form of deformation of the group of circle diffeomorphisms...

# SK-KMS THERMAL EQUIVARIANCE

- ◆ Life is simpler at high temperatures when thermal circle is small.



- ◆ Literally implement thermal translations as diffeomorphisms along the thermal circle and demand equivariance with this symmetry.  $\mathcal{L}^{\text{KMS}} \mathring{\mathcal{O}} = \Delta_{\beta} \mathring{\mathcal{O}}$

$$\begin{array}{c} \mathcal{N}_{\mathbb{T}} = 2 \text{ algebra} \quad | \quad \text{SK-KMS symmetries} \\ \left[ \mathring{\mathfrak{F}}_1, \mathring{\mathfrak{F}}_2 \right]^k = f_{ij}^k \mathring{\mathfrak{F}}_1 \mathring{\mathfrak{F}}_2 \quad \leftrightarrow \quad (\mathring{\mathfrak{F}}_1, \mathring{\mathfrak{F}}_2)_{\beta} = \mathring{\mathfrak{F}}_1 \Delta_{\beta} \mathring{\mathfrak{F}}_2 - \mathring{\mathfrak{F}}_2 \Delta_{\beta} \mathring{\mathfrak{F}}_1 \end{array}$$

- ◆ This leads to the  $U(1)_{\mathbb{T}}$  KMS symmetry discovered in during our attempt to classify hydro transport.

# THERMAL CARTAN AND WEIL MODELS

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- ◆ The gauge covariant Cartan charges (supercovariant derivations) can be mapped to the basic building blocks as follows:

$$\begin{aligned} \mathcal{Q} &\equiv \mathcal{Q}_{SK} + \phi_{\top} \bar{\mathcal{Q}}_{KMS} + \phi_{\top}^0 \bar{\mathcal{Q}}_{KMS} + \eta_{\top} \mathcal{Q}_{KMS}^0, \\ \bar{\mathcal{Q}} &\equiv \bar{\mathcal{Q}}_{SK} + \bar{\phi}_{\top} \mathcal{Q}_{KMS}. \end{aligned}$$

- ◆ The superalgebra structure can then be captured by the anti-commutation relation among the Cartan charges as

$$\mathcal{Q}^2 = (\dot{\mathcal{F}}_{\bar{\theta}\bar{\theta}}|_{\bar{\theta}=\theta=0}) \mathcal{L}_{KMS}, \quad \bar{\mathcal{Q}}^2 = (\dot{\mathcal{F}}_{\theta\theta}|_{\bar{\theta}=\theta=0}) \mathcal{L}_{KMS}, \quad [\mathcal{Q}, \bar{\mathcal{Q}}]_{\pm} = (\dot{\mathcal{F}}_{\theta\bar{\theta}}|_{\bar{\theta}=\theta=0}) \mathcal{L}_{KMS}$$

- Assume: dynamically consistent in dissipative systems to set all but the zero ghost number element of the Vafa-Witten quintet to zero:  $\langle \dot{\mathcal{F}}_{\theta\bar{\theta}} | \rangle = -i$

$$\mathcal{Q}^2 = 0, \quad \bar{\mathcal{Q}}^2 = 0, \quad [\mathcal{Q}, \bar{\mathcal{Q}}]_{\pm} = -i \mathcal{L}_{KMS} \mapsto i \mathcal{L}_{\beta}$$



The final algebra is also the one CGL/GL work with in the high temperature limit and appears to be well known in the stat mech literature (Mallick, Moshe, Orland 2010).

$$\delta^2 = \bar{\delta}^2 = 0, \quad \{\delta, \bar{\delta}\} = 2 \tanh\left(\frac{i}{2} \beta \partial_t\right) \approx i \beta \partial_t$$

## *Act IV*

*in which the Brownian particle is thermally equivariantized...*

# TOY MODEL: LANGEVIN DYNAMICS

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- ◆ Point particle in external potential subject to external forcing and noise

$$m \frac{d^2 x}{dt^2} + \frac{\partial U}{\partial x} + \nu \Delta_{\beta} x = \mathbb{N}$$

- ◆ One can write down a SK effective action for this dissipative dynamics

$$x = -i \Delta_{\beta}^{-1} \left( x_{\text{R}} - e^{-i\delta_{\beta}} x_{\text{L}} \right), \quad \tilde{x} = x_{\text{R}} - x_{\text{L}}$$

*Martin, Siggia, Rose 1973*

$$\mathcal{L}_{SK} = \left[ \tilde{x} \frac{\partial U}{\partial x} + \bar{\psi} \frac{\partial^2 U}{\partial x^2} \psi \right] - m \left[ \tilde{x} \frac{d^2 x}{dt^2} + \bar{\psi} \frac{d^2 \psi}{dt^2} \right] - \nu \left[ \tilde{x} \Delta_{\beta} x - \bar{\psi} \Delta_{\beta} \psi \right] + i \nu \tilde{x}^2.$$

- ◆ The dissipative part of the action is controlled by ghosts and is related to the fluctuation terms difference fields - fluctuation/dissipation relation.
- ◆ Convergence of the path integral fixes the sign of dissipative terms.
- ◆ Simplest realization of the extended equivariant cohomology algebra.

# BROWNIAN BRANES

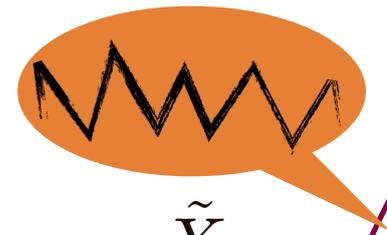
- ◆ Brownian particle immersed in a fluid undergoes dissipative motion.
- ◆ Langevin effective action: worldvolume B0-brane theory.
- ◆ Data for the worldvolume theory: thermal equivariant multiplets for target space coordinate map and thermal gauge field data.

$$\dot{X} = \{X, X_\psi, X_{\bar{\psi}}, \tilde{X}\}$$

$$\dot{A} \equiv \dot{A}_t dt + \dot{A}_\theta d\theta + \dot{A}_{\bar{\theta}} d\bar{\theta}$$

- ◆ MSR action follows as the basic thermal  $U(1)_T$  gauge invariant effective action of the worldline theory

$$S_{B0} = \int dt d\theta d\bar{\theta} \left\{ \frac{m}{2} \left( \dot{\mathcal{D}}_t \dot{X} \right)^2 - U(\dot{X}) - i\nu \dot{\mathcal{D}}_\theta \dot{X} \dot{\mathcal{D}}_{\bar{\theta}} \dot{X} \right\}$$



$\tilde{X}$

$$(\dot{\Lambda}, \dot{X})_\beta = \dot{\Lambda} \mathcal{L}_\beta \dot{X} = \dot{\Lambda} \Delta_\beta \dot{X} = \dot{\Lambda} \beta \frac{d}{dt} \dot{X}$$

$$\dot{\mathcal{D}}_I = \partial_I + [\dot{A}_I, \cdot]$$

$X$

# FLUCTUATION DISSIPATION AS CPT BREAKING

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◆ Stochasticity and dissipation arises because of spontaneous CPT symmetry breaking.

◆ BRST supersymmetry + spontaneous CPT breaking leads to Jarzynski relation which is a generalized fluctuation dissipation relation

*Jarzynski 1997*  
*Crooks 1999*

$$S_{B0} \mapsto S_{B0} - i \langle \dot{\mathcal{F}}_{\theta\bar{\theta}} | \rangle \beta (\Delta G + W) \implies \langle e^{-\beta W} \rangle = e^{-\beta \Delta G}$$

*Mallick, Moshe, Orland 2010*

◆ The CPT symmetry in our construction is implemented as R-parity in superspace and its breaking encoded in the vev for the ghost number zero field strength:  $\langle \dot{\mathcal{F}}_{\theta\bar{\theta}} | \rangle = -i$

*Gaspard 2012*

◆ Useful moral: *dissipation = ghost condensation.*



The combined CPT +  $U(1)_T$  transformation ends up being the transformation used by GL to prove entropy positivity.

## Act V

*in which thermal equivariance allows one to write down  
dissipative fluid dynamics in terms of an effective action,  
a topological sigma model....*

# FLUID DYNAMICS AS A SIGMA MODEL

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- ♦ Hydrodynamics: low energy dynamics of conserved currents in near equilibrium situations.
- ♦ The hydrodynamic modes are Goldstone modes for spontaneously broken difference diffeomorphisms and difference gauge transformation.

*Nickel, Son 2010*

- ♦ The order parameter for broken difference diffeomorphisms is a vector field, which we identify with the hydrodynamic velocity rescaled by the local temperature (the pions of hydrodynamics):

$$\beta^\mu = \frac{u^\mu}{T}, \quad \Lambda_\beta = \frac{\mu}{T} - \beta^\alpha A_\alpha$$

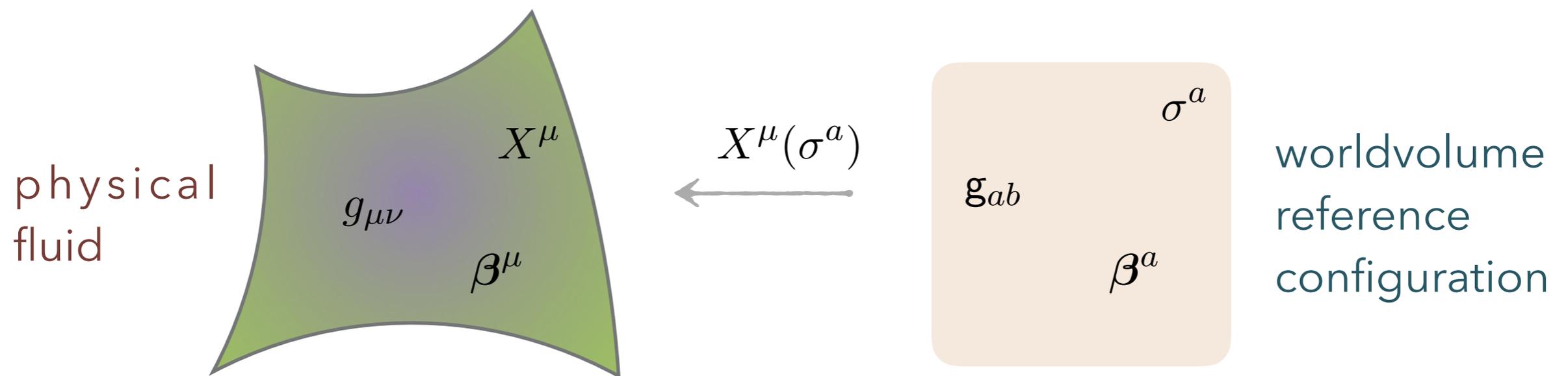
- ♦ A Landau-Ginzburg theory of this vector field captures a part of hydrodynamic transport (Class L), but getting all of hydrodynamic transport requires more ingredients (cf., eightfold classification).

*Haehl, Loganayagam, MR 2015*

# LANDAU-GINZBURG SIGMA MODELS

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- ◆ *Class L*: effective action is just a sigma model parameterized by a scalar functional (free energy density)  $\mathcal{L}[\beta^a, g_{ab}(X)]$ .
- ◆ *Adiabatic fluids*: Invariance under diffeomorphisms and flavour transformations forces non-dissipative dynamics.
- ◆ *Dynamics*: conservation follows from variational of the pullback maps with reference thermal vector being fixed.



# THE EIGHTFOLD LAGRANGIAN

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- ◆ More generally the full set of adiabatic transport derives from an Lagrangian density

$$\mathcal{L}_{\text{wv}} = \frac{1}{2} \mathbf{T}^{ab} \tilde{\mathbf{g}}_{ab} - \mathbf{N}_{\mathcal{L}}^a \tilde{\mathcal{A}}_a$$

- ◆ New variables  $\tilde{\mathbf{g}}_{ab}, \tilde{\mathcal{A}}_a$  : former is the SK partner of the worldvolume metric.
- ◆ The one-form is an abelian gauge field which couples to the entropy current.  
*Haehl, Loganayagam, MR 2015*
- ◆ The linear couplings to the partners is highly suggestive of structures encountered in analysis of linear dissipative systems and topological sigma models.  
*Martin, Siggia, Rose 1973*  
*Kovtun, Moore, Romatschke 2014*
- ◆ Take the symmetry seriously and attempt to work out a full theory including dissipation.

# TOPOLOGICAL SIGMA MODELS FOR HYDRODYNAMICS

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- ◆ Hydrodynamic modes are equivariant maps from the worldvolume to the target space (physical manifold).
- ◆ The symmetry being gauged is thermal translations.
- ◆ Variables: superfields with top and bottom components being SK difference and average fields respectively

$$\mathcal{Y} \rightarrow \dot{\mathcal{Y}} = \mathcal{Y} + \theta \mathcal{Y}_{\bar{\psi}} + \bar{\theta} \mathcal{Y}_{\psi} + \bar{\theta} \theta \tilde{\mathcal{Y}} \equiv \frac{\mathcal{Y}_L + \mathcal{Y}_R}{2} + \theta \mathcal{Y}_{\bar{\psi}} + \bar{\theta} \mathcal{Y}_{\psi} + \bar{\theta} \theta (\mathcal{Y}_R - \mathcal{Y}_L)$$

- ◆ Thermal translations act via Lie drag along reference thermal vector  $\dot{\beta}^I(z)$ .

$$(\dot{\Lambda}, \dot{\mathcal{Y}})_{\beta} = \dot{\Lambda} \mathcal{L}_{\beta} \dot{\mathcal{Y}}$$

- ◆ KMS gauge superfield implements thermal equivariance.

# TOPOLOGICAL SIGMA MODELS FOR HYDRODYNAMICS

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- ◆ Symmetries we impose are:
  - Superdiffeomorphisms in target space and world volume
  - CPT symmetry of SK path integrals ( $\mathcal{Z}_{SK}^*[\mathcal{J}_L, \mathcal{J}_R] = \mathcal{Z}_{SK}[\mathcal{J}_R, \mathcal{J}_L]$ )
  - worldvolume ghost number conservation
  - KMS gauge invariance
- ◆ Dynamical fields are the pull-back maps which induce a worldvolume super-metric  $\mathring{g}_{IJ}(z) = g_{\mu\nu}(\dot{X}(z)) \mathring{D}_I \dot{X}^\mu \mathring{D}_J \dot{X}^\nu$
- ◆ Its top component is the SK difference metric which couples to the physical stress tensor.
- ◆ Physical fluid dynamics obtained by deforming the topological theory.

$$\mathring{g}_{IJ}(z) \rightarrow \mathring{g}_{IJ}(z) + \bar{\theta} \theta h_{IJ}(\sigma)$$

# DISSIPATIVE HYDRODYNAMIC ACTIONS

- Working in superspace the symmetries suffice to constrain the terms that can appear in the worldvolume sigma model

$$S_{\text{wv}} \equiv \int d^d \sigma \mathcal{L}_{\text{wv}}, \quad \mathcal{L}_{\text{wv}} = \int d\theta d\bar{\theta} \frac{\sqrt{-\mathring{\mathbf{g}}}}{1 + \beta^e \mathring{A}_e} \left( \mathring{\mathcal{L}} - \frac{i}{4} \mathring{\eta}^{(ab)(cd)} \mathring{D}_\theta \mathring{\mathbf{g}}_{ab} \mathring{D}_{\bar{\theta}} \mathring{\mathbf{g}}_{cd} \right)$$

- In ordinary space we get back the adiabatic lagrangian + dissipation

$$\mathcal{L}_{\text{wv}} = \frac{\sqrt{-\mathbf{g}}}{1 + \beta^e \mathcal{A}_e} \left\{ \frac{1}{2} \left[ \mathbf{T}_{\mathcal{L}}^{ab} - \frac{i}{2} \boldsymbol{\eta}^{(ab)(cd)} (\mathcal{F}_{\theta\bar{\theta}}, \mathbf{g}_{cd})_\beta \right] \tilde{\mathbf{g}}_{ab} - \mathbf{N}_{\mathcal{L}}^a \tilde{\mathcal{A}}_a \right. \\ \left. + \frac{i}{8} \left( \boldsymbol{\eta}^{(ab)(cd)} + \boldsymbol{\eta}^{(cd)(ab)} \right) \tilde{\mathbf{g}}_{ab} \tilde{\mathbf{g}}_{cd} + \dots \right\},$$

*Class LT Lagrangian*

*Noise fluctuations*

*Kovtun, Moore, Romatschke 2014*

*Crossley, Glorioso, Liu 2015*

- Again dissipative dynamics spontaneously breaks CPT, KMS field strength picks up a vev and ghost condenses.

# HOLOGRAPHIC FLUIDS

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- ◆ Known second order transport of holographic fluids follows from:

$$\mathcal{L}_{\text{wv}} = c_{\text{eff}} \int d\theta d\bar{\theta} \frac{\sqrt{-\dot{\mathbf{g}}}}{1 + \beta^e \dot{A}_e} \left\{ \left( \frac{4\pi \dot{T}}{d} \right)^d \left( 1 - \frac{i d}{8\pi} \dot{P}^{c\langle a} \dot{P}^{b\rangle d} \dot{D}_{\theta} \dot{\mathbf{g}}_{ab} \dot{D}_{\bar{\theta}} \dot{\mathbf{g}}_{cd} \right) - \left( \frac{4\pi \dot{T}}{d} \right)^{d-2} \left[ \frac{w \dot{R}}{d-2} + \frac{1}{d} \text{Harmonic} \left( \frac{2}{d} - 1 \right) \dot{\sigma}^2 + \frac{1}{2} \dot{\omega}^2 \right] \right\}$$

- ◆ How does the bulk gravity theory realize this effective action?
- ◆ Recent attempts get the ideal fluid part correct, but no clear story beyond...

*Nickel, Son 2010 (ideal)*

*Crossley, Glorioso, Liu, Wang 2015 (incomplete at second order)*  
*deBoer, Heller, Pinzani-Fokeeva 2015 (ideal)*

# FLUCTUATION-DISSIPATION & JARZYNSKI

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- ◆ Presence of a gauge symmetry which couples to entropy current appears to be manifestly contradicting second law.
- ◆ Claim: entropy flows into the physical sector from the ghost sector. Appears to work in superspace cleanly...
- ◆ The MMO argument goes through in the hydrodynamic effective action leading to a derivation of the Jarzynski relation which then implies the 2nd law using convexity of the exponential function.

$$\langle e^{-\frac{W}{T}} \rangle = e^{-\frac{1}{T}(G_f - G_i)}$$

$$\langle W \rangle \geq G_f - G_i$$

- ◆ Note only stochastic fluctuations accounted for thus far. Requires understanding of full KMS structure for quantum effects.

*Epilogue: Of that which is yet to be...*



# LOOKING AHEAD...

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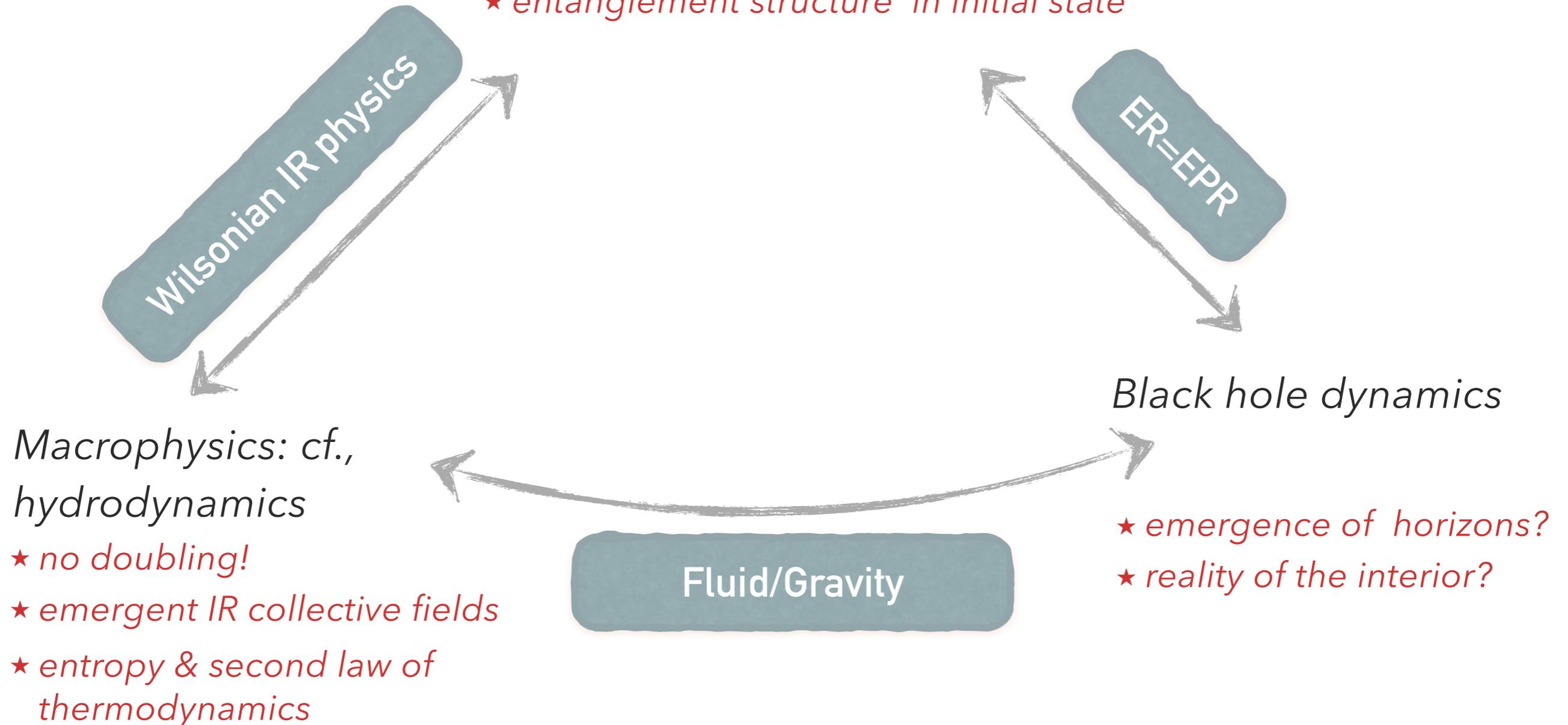
- ◆ Near-equilibrium dynamics appears to be under control (should however write down the eightfold topological sigma model). What about non-equilibrium?
- ◆ Open quantum systems & renormalization *Avinash, Jana, Loganayagam, Rudra 2017*
- ◆ How does thermal equivariance extend to include non-stochastic fluctuations? Deformation quantization? *Basart, Flato, Lichnerowicz, Sternheimer 1984*
- ◆ Microscopic unitarity which enforces fluctuation-dissipation etc., is upheld thanks to the ghost couplings. Lessons for gravity?
- ◆ What is the analogous story for higher out-of-time-order correlators?
- ◆ Are the similar statements for modular evolutions (equivalent in some contexts), and if so what does it imply for geometry = entanglement?

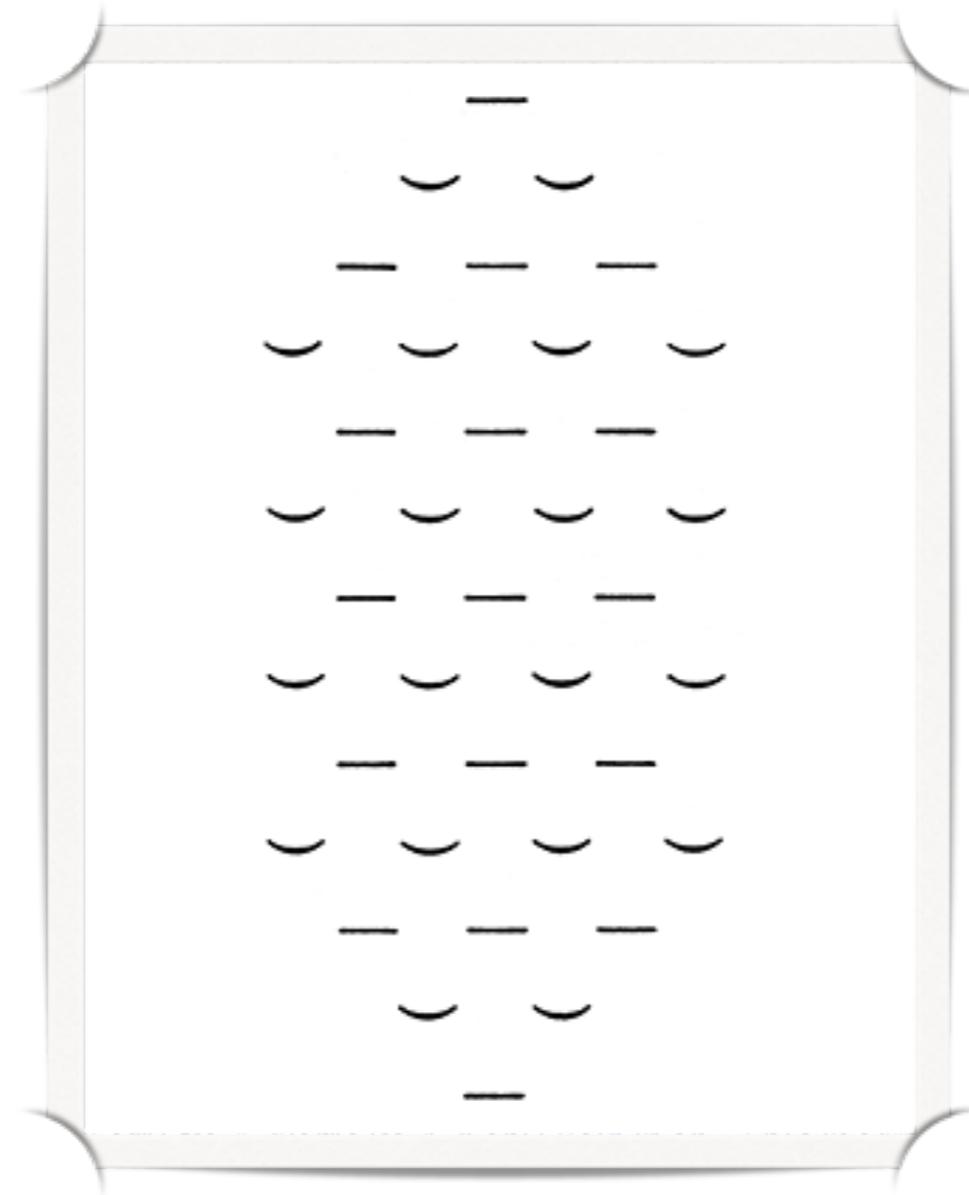
# A ROADMAP FOR THE FUTURE....

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## Microscopic Schwinger-Keldysh construction

- ★ doubling of degrees of freedom
- ★ entanglement structure in initial state





*Fisches Nachtgesang: Christian Morgenstern*