# Exact eigenfunctions and the open topological string

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Based on papers : Marcos Mariño and S.Z. [1606.05297], [1706.07402]

# Introduction

Conjectural correspondence [Grassi-Hatsuda-Mariño], [Codesido-Grassi-Mariño], [Mariño-SZ]:

# Spectral theory of operators



spectral determinant, eigenvalues, eigenfunctions **Topological strings** enumerative invariants of target

space (toric CY3)

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The target space of the top. string is a toric Calabi-Yau threefold. The operator is obtained by quantizing the mirror curve.

Provides a concrete and precise non-perturbative completion of topological strings on toric CYs. Conversely, knowledge of the topological string allows to solve the spectral problem.

In this talk: Focus on extension to open string sector  $\rightarrow$  eigenfunctions

Topological string on toric Calabi-Yau threefold

#### Consider toric CY three-folds ${\mathcal X}$

**A-model:** computes Gromov-Witten invariants of  $\mathcal{X}$ .

**B-model:** mirror geometry given by a mirror curve in  $\mathbb{C}^* \times \mathbb{C}^*$  $0 = W_{\mathcal{X}}(e^x, e^y) = \text{polynomial of exponentiated coordinates,}$ 



"Canonical" form:  $\mathcal{O}(x, y) + \kappa = 0$   $\kappa$ : modulus (genus 1)

# Quantization of the spectral curve

Promote x, y to Heisenberg operators with Weyl ordering

$$\begin{array}{ccc} \mathcal{O}(x,y) \\ x,y \in \mathbb{C} \end{array} & \longrightarrow & \begin{array}{c} \mathsf{O} = \mathcal{O}(\mathsf{x},\mathsf{y}) \\ [\mathsf{x},\mathsf{y}] = \mathsf{i}\hbar \end{array}$$

O is a difference operator. The inverse

$$\rho = 0^{-\frac{1}{2}}$$

is a trace class operator on  $L^2(\mathbb{R})$ 

[Kashaev-Marino], [Laptev-Schimmer-Takhtajan]

 $\rightarrow$  discrete spectrum

Important quantity: Fredholm determinant

$$\Xi(\kappa,\hbar) = \det(1+\kappa\rho)$$

Its zeros give the spectrum of O:  $\kappa_n$ , n = 0, 1, 2, ...

# Example: local $\mathbb{P}^2$

Finding spectrum and eigenfunctions of  $\rho$  : sharp problem in spectral theory.

**Example:** toric CY = total space of the canonical bundle over  $\mathbb{P}^2$  = "local  $\mathbb{P}^2$ "



rror curve: 
$$e^x + e^y + e^{-x-y} + \kappa = 0$$

Operator:  $\mathsf{O} = \mathrm{e}^{\mathsf{x}} + \mathrm{e}^{\mathsf{y}} + \mathrm{e}^{-\mathsf{x}-\mathsf{y}}$ 

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Eigenvalues  $\kappa_n$  and eigenfunctions  $\psi_n(x)$  can be obtained e.g. numerically. (Example at  $\hbar = 2\pi$ ):

n	$-\kappa_n$
0	12. 970 039 821
1	50. 310 469 082
2	135. 882 402 444
3	309. 741 176 869

# Conjecture

The conjecture expresses the Fredholm determinant in terms of the enumerative invariants of the underlying CY [Grassi-Hatsuda-Mariño] [Codesido-Grassi-Mariño]. Let us introduce  $\mu$  related to the modulus  $\kappa = e^{\mu}$ .

"Grand potential":  $J(\mu, \hbar) = J^{\text{WKB}}(\mu, \hbar) + J^{\text{WS}}(\mu, \hbar)$ 

► J<sup>WKB</sup> can be obtained using WKB (resummed in ħ), or the refined topological string in the NS limit. [Nekrasov-Shatashvili],[Aganagic-Cheng-Dijkgraaf-Krefl-Vafa]

►  $J^{WS} = F^{GV} \left( t = \frac{2\pi}{\hbar} t(\mu, \hbar), g_s = \frac{4\pi^2}{\hbar} \right)$  ( $\rightarrow t(\mu, \hbar)$ : quantum mirror map) free-energies of standard topological string, encodes the Gopakumar-Vafa invariants Non-perturbative in  $\hbar$ .

Standard top. strings provide the non-perturbative  $\hbar$  corrections to (resummed) WKB !

# Conjecture

The Fredholm determinant  $\Xi$  is given by the Zak transform of J.

Conjecture : 
$$\Xi(\kappa, \hbar) = \sum_{n \in \mathbb{Z}} e^{J(\mu + 2\pi i n, \hbar)}$$

It is an entire function on the CY moduli space. Gives the spectrum.

**Example:**  $\Xi(\kappa, 2\pi)$  for local  $\mathbb{P}^2$ 



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### Conjecture for the exact eigenfunctions

The eigenfunction can be studied in the small  $\hbar$  limit using the WKB ansatz

$$\psi_{\text{WKB}}(x,\kappa,\hbar) = \exp\left(\frac{\mathrm{i}}{\hbar}\int y(x)\mathrm{d}x + \ldots\right)$$

This expression can be resummed in  $\hbar$  (like  $J_{\rm WKB}$ ). We define

$$J_{\rm open}^{\rm WKB}(x,\mu,\hbar) = \log \psi_{\rm WKB}(x)$$

We also need the topological string wavefunction = generating function of D-brane amplitudes, with identification of all the open moduli. It can be written in terms of open-BPS invariants, or using topological recursion [Bouchard-Klemm-Mariño-Pasquetti]

$$\log \psi_{top}(x,t,g_s) = \sum_{\mathbf{x}} + \sum_{\mathbf{x}}^{\mathbf{x}} + \sum_{\mathbf{x}}^{\mathbf{x}} + \sum_{\mathbf{x}}^{\mathbf{x}} + \sum_{\mathbf{x}}^{\mathbf{x}} + \dots$$

#### Conjecture for the exact eigenfunctions

The non-perturbative part is given by using rescaled variables (like  $J^{WS}$ )

$$J_{\rm open}^{\rm WS}(x,\kappa,\hbar) = \log \psi_{\rm top} \left(\frac{2\pi}{\hbar}x, \ \frac{2\pi}{\hbar}t(\mu,\hbar), \ g_s = \frac{4\pi^2}{\hbar}\right)$$

Extended "grand potential":

$$J(x,\mu,\hbar) = J^{\mathrm{WKB}}(\mu,\hbar) + J^{\mathrm{WS}}(\mu,\hbar) + J^{\mathrm{WKB}}_{\mathrm{open}}(x,\mu,\hbar) + J^{\mathrm{WS}}_{\mathrm{open}}(x,\mu,\hbar)$$

The standard top. string provide the non-perturbative completion to (resummed) WKB.

Extended conjecture: the (unnormalized) eigenfunction is

$$\psi_{-}(x,\kappa,\hbar) = \sum_{n\in\mathbb{Z}} e^{J(x,\,\mu+2\pi i n,\,\hbar)}$$

# A subtlety

The function  $\psi_{-}(x,\kappa,\hbar)$  is only one contribution from the wavefunction. It is built from ingredients which are multicovered in x (natural space = the mirror curve). For hyperelliptic curves, there is a second sheet. We obtain  $\psi_{+}(x,\kappa,\hbar)$  by analytically continuing  $\psi_{-}(x,\kappa,\hbar)$  to that second sheet.



$$\psi(x,\kappa,\hbar) = \psi_{-}(x,\kappa,\hbar) + \psi_{+}(x,\kappa,\hbar)$$

 $\implies$  the final eigenfunction is a sum over all the coverings of the closed and open moduli, and is entire in both open and closed moduli

Similar story for the minimal string [Maldacena-Moore-Seiberg-Shih]

# Eigenfunctions at $\hbar = 2\pi$

According to these conjectures,  $\hbar = 2\pi$  is a special value, where the theory is essentially one loop exact.

Only genus 0 and 1 contributions from closed sector, only disk and annulus amplitudes from the open sector, and only leading and next-to-leading WKB contribute. All are known exactly in x.

 $\implies \psi_-$  can be written down exactly. It looks like a Baker-Akhiezer function.

 $\implies \psi_+$  can be worked out by performing the analytic continuation.

Tuning  $\kappa = \kappa_n$   $\rightarrow$  "on-shell" eigenfunction.

The on-shell result for local  $\mathbb{P}^1\times\mathbb{P}^1$  has been reproduced from first principles  $_{[Kashaev-Sergeev]}$ 

#### Eigenfunctions at $\hbar = 2\pi$

**Example:** local  $\mathbb{P}^2$  (we use  $X = e^x$ )

$$\begin{split} \psi(x,\kappa) &= \frac{\mathrm{e}^{J(\mu,2\pi)} C \vartheta_1'(0) \mathrm{e}^x}{\sqrt{\sigma(X)}} \left[ \mathrm{e}^{-\frac{\mathrm{i}x^2}{2\pi} + \frac{\mathrm{i}}{2\pi} \Sigma(x)} \frac{\vartheta_3(u(X) + \xi - \frac{3}{8})}{\vartheta_1(u(X))} \right. \\ &+ \, \mathrm{e}^{\frac{\pi\mathrm{i}}{4} - \frac{2\pi\mathrm{i}}{3}\xi} \, \mathrm{e}^{\frac{\mathrm{i}x^2}{4\pi} - \frac{\mathrm{i}}{2\pi} \Sigma(x)} \frac{\vartheta_3(u(X) + \xi - \frac{3}{8} + \frac{\tau}{3})}{\vartheta_1(u(X) + \frac{\tau}{3})} \right] \end{split}$$

- Polynomial  $\sigma(X) = X(4 X(X + \kappa)^2)$ ,
- u(X) the Abel-Jacobi map based at ∞ and τ the matrix of periods of Riemann surface σ(X) = Y<sup>2</sup>

•  $\tau$  and  $\xi$  are given by  $\partial_t F_0$  and its derivative

$$\blacktriangleright \Sigma(x) = x\tilde{y}(x) - \int^x \mathrm{d}x'\tilde{y}(x') - \frac{2\mathrm{i}\pi}{3}t(2\pi)u(X)$$

#### Eigenfunctions at $\hbar = 2\pi$

The function  $\psi(x,\kappa)$  is entire in x for any  $\kappa$ , and normalizable when "on-shell":  $\kappa = \kappa_n$ , n = 0, 1, 2, ...

Some eigenfunctions (real and imaginary part, and (absolute value)<sup>2</sup>):



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# Application: integrable systems

**Cluster integrable systems** [Goncharov-Kenyon] : Integrable systems associated to any toric CY3. (Example: relativistic Toda lattice.) The quantized mirror curve gives the analogue of the Baxter equation.

The above results allow to solve the analogue of the Baxter equation.

A modification of the conjecture allows to determined the full exact spectrum of the integrable system [Franco-Hatsuda-Mariño].

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# Conclusion

- There is a striking but concrete conjectural relationship between the spectral theory of operators on the real line (quantized mirror curves) and enumerative invariants of the underlying toric CYs.
- This provides a non-perturbative definition of topological strings.
- The enumerative invariants allow to solve for the spectrum and other spectral quantities (through the Fredholm determinant)
- Extension of the conjecture relates the eigenfunctions of the operator to open sector of top. strings
  - $\implies$  allows in some cases to write down exact eigenfunctions
- Interesting link to integrable systems

There are many more things to do ! among others...

More general spectral "observables" that encodes the full open string ?
( ⇒ "non perturbative" full open string)

Proof ? ...

# Thank you !

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# additional slides...

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$$\Xi(\kappa,\hbar) = \sum_{n \in \mathbb{Z}} e^{J(\mu + 2\pi i n,\hbar)} \qquad \qquad J = J^{\text{WKB}} + J^{\text{WS}}$$

Different limits of the spectral theory:



 $J^{\rm WS}$  (=standard topological string free-energies) arise as asymptotic expansion of log  $\Xi$  in the limit  $\hbar \to \infty (\mu/\hbar \text{ finite})$ .

In this limit, WKB part (and effects from the sum) are exponentially suppressed.

Refined NS topological string free energies ( $\epsilon_1 = \hbar, \epsilon_2 \rightarrow 0$ )

$$\begin{split} F^{\mathrm{NS}}(\mathbf{t},\hbar) &= \frac{1}{6\hbar} \sum_{i,j,k=1}^{s} a_{ijk} t_i t_j t_k + \sum_{i=1}^{s} b_i^{\mathrm{NS}} t_i \hbar \\ &+ \sum_{j_L,j_R} \sum_{w,\mathbf{d}} N_{j_L,j_R}^{\mathbf{d}} \frac{\sin \frac{\hbar w}{2} (2j_L + 1) \sin \frac{\hbar w}{2} (2j_R + 1)}{2w^2 \sin^3 \frac{\hbar w}{2}} \mathrm{e}^{-w \mathbf{d} \cdot \mathbf{t}} \\ \mathsf{J}_{S}^{\mathrm{WKB}}(\mu, \boldsymbol{\xi}, \hbar) &= \sum_{i=1}^{s} \frac{t_i(\hbar)}{2\pi} \frac{\partial F^{\mathrm{NS}}(\mathbf{t}(\hbar), \hbar)}{\partial t_i} + \frac{\hbar^2}{2\pi} \frac{\partial}{\partial \hbar} \left( \frac{F^{\mathrm{NS}}(\mathbf{t}(\hbar), \hbar)}{\hbar} \right) \\ &+ \sum_{i=1}^{s} \frac{2\pi}{\hbar} b_i t_i(\hbar) + A(\boldsymbol{\xi}, \hbar) \end{split}$$

Standard topological string free-energies (GV)

$$F^{\text{GV}}(\mathbf{t}, g_s) = \sum_{g \ge 0} \sum_{\mathbf{d}} \sum_{w=1}^{\infty} \frac{1}{w} n_g^{\mathbf{d}} \left( 2\sin\frac{wg_s}{2} \right)^{2g-2} e^{-w\mathbf{d} \cdot \mathbf{t}}$$

$$\mathsf{J}^{\mathrm{WS}}(\mu,\hbar) = F^{\mathrm{GV}}\left(\frac{2\pi}{\hbar}\mathbf{t}(\hbar) + \pi \mathrm{i}\mathbf{B}, g_s = \hbar_{\mathrm{D}} \equiv \frac{4\pi^2}{\hbar}\right)$$

Resummed WKB wavefunction ( $X = e^x$ )

$$\begin{split} J_{\text{open}}^{\text{WKB}}(\mu,\hbar,X) &= \log \psi_{\text{WKB}}(x) \\ &= J_{\text{pert}}^{\text{WKB}}(\mu,\hbar,X) + \sum_{\mathbf{d},\ell,s} \sum_{k=1}^{\infty} D_{\mathbf{d},\ell}^{s} \frac{q_{\hbar}^{ks}}{k(1-q_{\hbar}^{k})} (-X)^{-k\ell} \mathrm{e}^{-k\mathbf{d}\cdot\mathbf{t}} \end{split}$$

Topological string wavefunction ( $g_s$  expansion)

$$\psi_{\mathrm{top}}(X, \mathbf{t}, g_s) \sim \exp\left[\sum_{g=0}^{\infty} \sum_{h=1}^{\infty} \frac{(-\mathrm{i}g_s)^{2g-2+h}}{h!} \int^X \cdots \int^X W_{g,h}(X_1, \cdots, X_h) \mathrm{d}X_1 \cdots \mathrm{d}X_h\right]$$

Resummed topological string wavefunction ( $X = e^x$ )

$$\begin{split} \log \psi_{\mathrm{top}}(X,\mathbf{t},g_s) &= \sum_{\mathbf{d}} \sum_{g=0}^{\infty} \sum_{h=1}^{\infty} \sum_{\boldsymbol{\ell}} \sum_{w=1}^{\infty} \frac{\mathrm{i}^h}{h!} n_{g,\mathbf{d},\boldsymbol{\ell}} \frac{1}{w} \left( 2\sin\frac{wg_s}{2} \right)^{2g-2} \\ &\times \prod_{i=1}^h \left( 2\sin\frac{w\ell_i g_s}{2} \right) \frac{1}{\ell_1 \cdots \ell_h} X^{-w(\ell_1 + \cdots + \ell_h)} \mathrm{e}^{-w \mathbf{d} \cdot \mathbf{t}}. \\ &J_{\mathrm{open}}^{\mathrm{WS}}(\mu,\hbar,X) = \log \psi_{\mathrm{top}} \left( X^{2\pi/\hbar}, \frac{2\pi}{\hbar} \mathbf{t}(\hbar) + \pi \mathrm{i} \mathbf{B}, \hbar_{\mathrm{D}} \right). \end{split}$$